



Local Government Performance Assessment

Luwero District

(Vote Code: 532)

Assessment	Scores
Crosscutting Minimum Conditions	68%
Education Minimum Conditions	60%
Health Minimum Conditions	50%
Water & Environment Minimum Conditions	65%
Micro-scale Irrigation Minimum Conditions	70%
Crosscutting Performance Measures	64%
Educational Performance Measures	54%
Health Performance Measures	30%
Water & Environment Performance Measures	43%
Micro-scale Irrigation Performance Measures	14%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Service Delivery Outcomes of DDEG investments Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	There was evidence that infrastructure projects implemented using DDEG funding were functional and utilised as per the purpose as follows: <ol style="list-style-type: none"> 1. Construction of 2 classroom block at Bombo Mixed Primary UGX 58,762,993- Complete and functional; 2. Completion of 3 classroom block and office at Luzeke COU primary school UGX 63,551,186 Complete and functional ; and 3. Construction of 5 stance pit latrine in 10 primary school - Kayindo P/S, Nambi Ummea Primary schoo, Nakabululu , Primary School, Gelyanda Primary school, Damascus Primary School, Kyentume COU Primary school , Lukomero COU Primary School , Nyimbwa CoU primary school , Nandeera Girls Primary School, Kikunhy- Kabungu Primary school, UGX 149,041,660 complete and functional . 	4
2	Service Delivery Performance Maximum 6 points on this performance measure	a. If the average score in the overall LLG performance assessment increased from previous assessment : <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 	Not applicable	0

2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> • If 100% the projects were completed : Score 3 • If 80-99%: Score 2 • If below 80%: 0 	<p>There was evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY as follows:</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom block at Bombo Mixed Primary UGX 58,762,993- Complete and functional ; 2. Completion of 3 classroom block and office at Luzeke COU primary school UGX 63,551,186 Complete and functional ; 3. Construction of 5 stance pit latrine in 10 primary school - Kayindo P/S, Nambi Ummea Primary school, Nakabululu , Primary School, Gelyanda Primary school, Damascus Primary School, Kyentume COU Primary school , Lukomero COU Primary School , Nyimbwa CoU primary school , Nandeera Girls Primary School, Kikunhy- Kabungu Primary school, UGX 149,041,660 complete and functional ; and 4. Rehabilitation of 3 classroom block at Bombo Islamic Primary School UGX 21,483,587 complete and functional. <p>This was 100% completion.</p>	3
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>As per the FY 2019/2020, below were the list of DDEG projects that were spent as per DDEG Grant Budget and Implementation Guidelines:</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom block at Bombo Mixed Primary UGX 58,762,993- Complete and functional ; 2. Completion of 3 classroom block and office at Luzeke COU primary school UGX 63,551,186 Complete and functional; 3. Construction of 5 stance pit latrine in 10 primary school - Kayindo P/S, Nambi Ummea Primary school, Nakabululu , Primary School, Gelyanda Primary school, Damascus Primary School, Kyentume COU Primary school , Lukomero COU Primary School , Nyimbwa CoU primary school , Nandeera Girls Primary School, Kikunhy- Kabungu Primary school, UGX 149,041,660 complete and functional ; and 4. Rehabilitation of 3 classroom block at Bombo Islamic Primary School UGX 21,483,587 complete and functional. 	2
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,</p>	<p>The variations in the contract price to for all the DDEG projects reviewed was within +/-20% of the LG Engineers estimates</p> <p>4 DDEG projects sampled</p> <p>These are the details of the projects reviewed.</p>	2

score 2 or else score 0

1. Construction of 2 Classroom block at Bombo Mixed Primary School

Contract No: LUWE532/Wrks/19-20/00067

Approved under: Min 009/LDLGCC/19-20

Contract Price: 58,162,993

Engineer's Estimate:60,000,000

Price Variation: -1,837,007

Percent Variation: -3.06%

Comment: No variation

2. Construction of 5 Stance Lined Pit Latrine at Damascus P/S, Kyetume C/U P/S and Lukomera C/U P/S

Contract No: LUWE532/Wrks/19-20/00087

Approved under: Min 009/LDLGCC/19-20

Contract Price: 48,261,292

Engineer's Estimate:49,200,000

Price Variation: -938,708

Percent Variation: -1.91%

Comment: No variation

3. Completion of a 3 Classroom Block and Office at Lusenke C/U P/S

Contract No: LUWE532/Wrks/19-20/00071

Approved under: Min 009/LDLGCC/19-20

Contract Price: 63,551,186

Engineer's Estimate:64,000,000

Price Variation: -448,814

Percent Variation: -0.7%

Comment: No variation

4. Construction of 5 Stance Pit Latrine at Nyibwa C/U P/S, Nandere Girls P/S and Kikunyo Kabugo P/S

Contract No: LUWE532/Wrks/19-20/00088

Approved under: Min 009/LDLGCC/19-20

Contract Price: 42,092,528

Engineer's Estimate:43,050,000

Price Variation: -957,472

Percent Variation: -2.22%

Comment: No variation

Performance Reporting and Performance Improvement

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0</p>	<p>The information on the positions filled in LLGs as per minimum staffing standards not accurate. For example Katikamu S/C has only an Agricultural Officer and a Veterinary officer while Luwero S/C has a Veterinary Officer, an Agricultural Officer, Assistant Fisheries Officer and an Assistant Agricultural officer.</p>	0
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>There was evidence that infrastructure constructed using the DDEG was in place as per reports produced by the LG as follows:</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom block at Bombo Mixed Primary UGX 58,762,993- Complete and functional ; 2. Completion of 3 classroom block and office at Luzeke COU primary school UGX 63,551,186 Complete and functional; and 3. Construction of 5 stance pit latrine in 10 primary school - Kayindo P/S, Nambi Ummea Primary school, Nakabululu , Primary School, Gelyanda Primary school, Damascus Primary School, Kyentume COU Primary school , Lukomero COU Primary School , Nyimbwa CoU primary school , Nandeera Girls Primary School, Kikunhy- Kabungu Primary school, UGX 149,041,660 complete and functional . 	2
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p>	<p>Not applicable</p>	0
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence that the District developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p>	0

5	Reporting and Performance Improvement Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY: Score 2 or else score 0	There was no evidence of implementation of the PIP for the 30 % lowest performing LLGs in the previous FY since the Plans were not in place.	0
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Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was no evidence that the LG consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED.	0
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7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was evidence to show that the LG conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI). There was an overall staff list as at 30th/6/2020 and the individual staff lists sampled. The analysis dated 6th/4/2020 showed that from 30th March to 3rd April out of 28 employees who started attending duty, only 12 continued attending by 30th April. Quarterly analysis reports for the sub county were available in a file titled 'Decentralized staff lists, CR/152/2.	2
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7	Performance management Maximum 5 points on this Performance Measure	i. Evidence that the LG has conducted an appraisal with the following features: HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0	There was no evidence that the HoDs were appraised as per guidelines issued by MoPS during the previous FY. The appraisal files had performance reports for different FYs other than the year under assessment.	0
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7	Performance management Maximum 5 points on this Performance Measure	ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines: Score 1 or else 0	There was evidence that the administrative rewards and sanctions were implemented on time as provided for in the guidelines. Evidence was in a Report dated 20th July, 2020. This report consists of implementation of the CAO's submissions to DSC in relation to several cases ranging from confirmation cases, appointment on probation, regularization of appointment, transfer within service, promotion, re-designation, study leave, disciplinary cases (reprimanded, terminated, warned, retired in public interest) among others.	1
7	Performance management Maximum 5 points on this Performance Measure	iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional. Score 1 or else 0	There was no evidence that the LG established a functional Consultative Committee (CC) for staff grievance redress.	0
8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: Score 1.	There was evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment. For example Nsubuga Fred , Kaweesa Augustne and Katongole Joel were appointed on 20/4/2020, assumed duty on 18/5/2020, 13/5/2020 and 15/5/2020 respectively and all accessed payroll on 28/6/2020. Kisitu David and Zawedde Esther were appointed on 12/6/2020, assumed duty on 1/7/2020 and 20//2020 and accessed payroll on 28/9/2020 and 28/8/2020 respectively. Namuli Faridah and Nakazibwe Joan were appointed on 4/5/2020, assumed duty on 2/7/2020 and 1/7/2020 respectively and both accessed payroll on 28/9/2020 among others.	1
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	The evidence provided (PENSION PAYROLL AS AT 31ST JUNE 2020) was not enough to be used to determine the percentage of staff that retired during the previous FY accessing the pension payroll not later than two months after retirement. The staff list indicating date, month and year of retirement was missing.	0

Management, Monitoring and Supervision of Services.

10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY as follows:</p> <p>Quarter 1 was warranted on 07/08/2019 CAO and payment was made on 08/08/2019 of UGX 71,171,805;</p> <p>Quarter 2 was warranted on 10/10/2019 CAO Payment was on 22/10/2019 of UGX 71,171,805; and</p> <p>Quarter 3 was warranted on 17/01/2020 CAO Payment was on 17/01/2020 UGX 71,171,805.</p>	2
10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:</p> <p>Score: 2 or else score 0</p>	<p>As per LG approved Budget the amount of DDEG was UGX 213,515,415</p> <p>Quarter 1 warranted on 02/08/2019 and CAO payment was made on 08/08/2019 UGX 71,171,805</p> <p>Quarter 2 was warranted on 16/10/2019 and CAO released Payment on 22/10/2019</p> <p>Quarter 3 was warranted on 29/01/2020 and CAO Payment was on 04/02/2020</p>	2
10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:</p> <p>Quarter 1 was on 07/08/2019 CAO and communicated the transfer on 08/08/2019 UGX 71,171,805 ;</p> <p>Quarter 2 Payment initiation was on 10/10/2019 CAO Communicated transfer on 22/10/2019; and</p> <p>Quarter 3 3rd Payment initiation was on 17/01/2020 CAO Communication was on 17/01/2020.</p>	2

<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence of supervision/mentorship as below:</p> <p>1st quarter 04/09/2019 : Training of town clerks, Senior Assistant secretaries and community development officers on the draft LG planning guidelines 2020 and Local economic development. The trainers were District Planner and the Deputy CAO;</p> <p>Quarter 2 29/11/2019 : Training of Head of Departments, Town Clerks and Senior Assistant Secretaries on sustainable development goals. The District Planner carried out the training;</p> <p>Quarter 3 25/03/2020 :Training of Head of Departments, Town Clerks, Senior Assistant Secretaries and Community development Officers on the overview of African Union agenda 2023 in relation to 2030 sustainable development goals; and</p> <p>Quarter 19/06/2020 :Training of head of departments, Town Clerks, Senior Assistant Bureaus and Community Development Officers on the new reforms of program-based planning approach.</p>
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Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

Meeting was held on **13/10/2019 MIN TPC Min/2.6/OCT/DTPC/219**

Issues raised:

Monitoring of Community Demand driven groups (CDDG) , poor management of the projects by the group member. This was DDEG funding of 30% ;

TPC meeting held on **28/01/2020**

Min/4.6/Jan/DTPC/2020

Discussion of multi-sectoral monitoring reports and the major issue was delayed commencement of construction works in general ;

TPC meeting held on **30/03/2020 Min**

/5.4/Mar/DTPC/2020

The major concern here was some contractors who had diverted from the instruction – construction of 5 stance pit latrine at Kyentume CoU Primary and Damascus Primary- the contractor had put a wrong guage of iron sheet 30 in place of 28 and at Damascus the contractor had used poor quality door, they were also to do the right thing; and

TPC meeting held on **28/Jul/2020 Min**

1.5/Jul/DTPC/2020

The major concern was about defects on some of the projects and vandalism of some of the lightening arrestors – they were stolen after the projects were completed. The need to improve on the security within the school .

Investment Management

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:

Score 2 or else score 0

Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0

The LG last updated its assets on 31 July 2020, although there was evidence that the LG maintains a detailed assets register for the FY 2019/2020 hard copy as detailed below:

Land -District Headquarters UGX 300,000,000;

New Graders UGX 450,000,000 ;

Motor vehicles and plants UGX 145,000,000 ;

Roadside Markets UGX 85,000,000 ;

Office Furniture ; and

ICT and Computer Accessories .

0

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:

Score 1 or else 0

There was no evidence that the LG used the Board of survey report was prepared on 31/10/2020 as a source of guidance on procurement, maintenance, and disposal of assets. Below were some of the details found in the board of survey report:

Details of assets and recommendations:

The findings were as following :

Storage of old documents were still poor across all departments ;

Sub counties health units and departments had not updated their respective asset registers ;

The parameter wall at the district headquarters still incomplete ;

Recommendation.

Its recommended that the district maintains safe custody of all the documents and also follow up destruction of old documents ;

Asset registers should regularly be updated to the current standard to give a true and fair picture of the district assets ; and

Renovation of the district structures, completion of the District wall fence and LLG structures should be taken as a priority .

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

The LG submitted 4 sets of minutes of Physical Planning Committee (PPC) to the MOLHUD.

As follows:

The LG submitted all the 4 sets of reports as follows:

Quarter 1 1/08/2019 ;

Quarter 2 19/10/2019 ;

Quarter 3 16/01/2020 ; and

Quarter 4 13/03/2020 .

The committee members of PPC was properly constituted and they were appointed on 23/09/2014

The committee members were:

Chief Administration Officer (CAO)

District Education Officer;

District Health Officer;

District Agriculture Officer;

District Community Development Officer;

District Natural Resources Officer;

District Water Officer;

District Road Engineer;

District Physical Planner;

District Environmental Officer;

Agricultural Engineer ;

Town Clerk -3 ; and

Physical Planner in private practice .

<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the LG Development Plan; (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District conducted a desk appraisal for all projects in the budget and the prioritised investments were derived from the LG Development Plan; eligible for expenditure as per sector guidelines and funding source as follows:</p> <ol style="list-style-type: none"> 1. Construction of two classroom block at Bombo Common Primary school date of desk appraisal was 03/03/2020 field 27/12/2019 the LGDP page 252 ; 2. Construction of two classroom block at Kyegobwa COU Primary school desk appraisal 03/03/2020 and field 27/12/2019 In page 252 of the LGDP; 3. Construction of two classroom block at Kyiso Primary School desk appraisal was on 03/03/2020 and field was on 27/12/2019 page 252 of the LGDP ; 4. Construction of two classroom block at Wobulenzi Umea Primary School desk was on 03/03/2020 and field 27/12/2020 page 252 of the LGDP ; 5. Construction of two classroom block at Kansiri desk appraisals was done 03/0/2020 and field 27/12/2017 in page 252 of the LGDP ; 6. Construction of two classroom block at Kyengombwa RC Primary School desk appraisal was on 03/03/2020 and field 27/12/2019 page 252 of the LGDP ; 7. Construction of classroom block at Ndejje Junior Primary School desk 03/03/2020 and 27/12/2019 in page 252 of the LGDP ; 8. Construction of 12 stance pit latrines in 12 schools District wide desk was on 03/03/2020 . field 27/12/2019 in page 252 of the LGDP ; 9. Drilling of 16 dip boreholes district wide desk review was done on 03/03/2020 and field appraisals was on 27/12/2019 page 268 Of the LGDP ; 10. Construction of 100 bed ward at Luwero Hospital phase 7 desk review was on 03/03/2020, field appraisal was on 17/01/2020 page 246 of the LGGDP ; and 11. Renovation of Bukalasa Health Centre III desk review was on 03/03/2020, field appraisal was on 17/01/2020page 247 of the LGDP .
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that LG conducted field appraisal to check for ,technical feasibility, Environmental and social acceptability and customised design for investment projects of the previous FY as follows:</p> <ol style="list-style-type: none"> 1. Drilling of 16 dip boreholes district wide desk review was done on 03/03/2020 and field appraisals was on 27/12/2019 designs were in the BOQs ; 2. Construction of 100 bed ward at Luwero Hospital phase 7 desk review was on 03/03/2020, field appraisal was on 17/01/2020 designs were in the BOQs ; and 3. Renovation of Bukalasa Health Center III desk review was on 03/03/2020, field appraisal was on 17/01/2020 the design were in the BOQs . 	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was evidence that the project profile was developed for the FY 2020/2021 covering all the investments – it runs from page 1 to page 47</p> <p>And it was discussed in the TPC meeting held on 28/01/2020 TPC Min 4.4/Jan/DTPC/2020.</p> <p>The discussion was on annual work plans and project profiles for the FY 2020/2021.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There WAS Evidence that LG had screened projects for current FY (2020/2021 FY) for environmental and social impacts and mitigation measures put in place where required as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Completed Environmental and Social Screening Form (ESSF) for Construction of a 5-Stance Pit Latrine at Ttama C.O.U P/S in Luwero Sub-county, Kigalama P/S in Butuntumula Sub-county, Bbugga P/S in Bamunanika Sub-county, and Kalagala C.O.U P/S in Kalagala Sub-county under DDEG Funding (LUWE/532/WRKS/20-21/00112), Dated 28/10/2020, Signed and Stamped by District Environmental Officer - Kayonga Scovia. - Completed Environmental and Social Screening Form (ESSF) for Construction of a 5-Stance Pit Latrine at Namaliga C.O.U P/S in Bombo Town Council, Ndejje Junior P/S in Ndejje Town Council, Bbale P/S in Nyimbwa Sub-county and Kalasa Mixed P/S in Makulubita Sub-county under DDEG Funding (LUWE/532/WRKS/20-21/00117), Dated 29/10/2020, Signed and Stamped by District Environmental Officer - Kayonga Scovia. - Completed Environmental and Social Screening Form (ESSF) for Construction of a Classroom Block at Kiiso P/S in Kamira Sub-county and Kyegombwa RC P/S in Luwero Sub-county under SFG Funding 	2

(LUWE/532/WRKS/20-21/00101), Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Construction of a Two (2) Classroom Block at Lukole Umea P/S in Bombo Town Council and Ndejje Junior P/S in Ndejje Town Council under SFG Funding (LUWE/532/WRKS/20-21/00099), Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Construction of a Classroom Block at Kiiso P/S in Kamira Sub-county and Kyegombwa RC P/S in Luwero Sub-county under SFG Funding (LUWE/532/WRKS/20-21/00101). The Bill of Quantities (BoQ) presented in the Bidding Document that was Signed and Stamped on 06/October/2020 by Lint Consult and Engineering Limited had Element 13: Crosscutting Issues, Section B that indicated 400,000 UGX for environmental issues mitigation measures to be carried out by the District Environmental Officer with facilitation by the Contractor and with approval by the Project Manager/District Engineer before completion of the project.

- Construction of a Two (2) Classroom Block at Lukole Umea P/S in Bombo Town Council and Ndejje Junior P/S in Ndejje Town Council under SFG Funding (LUWE/532/WRKS/20-21/00099). The Bill of Quantities (BoQ) presented in the Bidding Document that was Signed and Stamped on 07/October/2020 by Bweyo Technical Services Uganda Limited had Element 13: Crosscutting Issues, Section B that indicated 400,000 UGX for environmental issues mitigation measures to be carried out by the District Environmental Officer with facilitation by the Contractor and with approval by the Project Manager/District Engineer before completion of the project.

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan

Score 1 or else score 0

All infrastructure projects for the current FY that are to be implemented using the DDEG were incorporated in the LG approved procurement plan

Sampled projects under DDEG in approved Procurement Plan

1. Construction of 2 Classroom block at Bombo Mixed Primary School

2. Construction of 5 Stance Lined Pit latrine at Damascus P/S , Kyetume C/U P/S, and Lukomera C/U P/S

3. Completion of a 3 Classroom Block and Office at Lusenke C/U P/S

4. Construction of 5 Stance Pit

1

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>All infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction</p> <p>The following projects on the Procurement Plan appeared in the Contracts Committee Minutes. They were approved under Min 009/LDLGCC/19-20</p> <ol style="list-style-type: none"> 1. Construction of 2 Classroom block at Bombo Mixed Primary School 2. Construction of 5 Stance Lined Pit latrine at Damascus P/S , Kyetume C/U P/S, and Lukomera C/U P/S 3. Completion of a 3 Classroom Block and Office at Lusenke C/U P/S 4. Construction of 5 Stance Pit Latrine at Nyimbwa C/U P/S, Nandere Girls P/S and Kikunyo Kabugo P/S 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG did not establish the Project Implementation team as specified in the sector guidelines.</p> <p>There was no evidence of the PIT members for the projects sampled to be carried out in the new FY.</p>	0

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:</p> <p>Score 1 or else score 0</p>	<p>The infrastructure projects implemented using DDEG sampled did not follow the standard technical designs provided by the LG Engineer</p> <p>Sampled project:</p> <p>Standard Drawings:</p> <p>Veranda: 100 cm</p> <p>Windows: width 1.2 m</p> <p>Door: width 90 cm</p> <p>Measured Dimensions: Two Classroom Block at St. Jude Primary school</p> <p>Verandah was 97cm</p> <p>Windows: Two sampled: height – 1.46m and width 1.2m</p> <p>Door: Height 2.42 m and width 93cm</p> <p>Painting in good visual assessment</p> <p>Lightening conductor seen.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p>The LG did not provide supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY,</p> <p>From the supervision reports reviewed, only the Contract Manager and Project Manager attended the site monitoring visits.</p> <p>The projects below were reviewed:</p> <ol style="list-style-type: none"> 1. Construction of 2 Class room block Dated 17-March-2020 signed off by –Kagimu Dennis 2. Construction of a 2 Classroom Block at Kasana Signed off by Kagimu Dennis dated 24-Feb-2020 3. Construction of a 100 bed General Ward dated 21-Aug-2019 and signed off by Kagimu Dennis 4. Extension of Sambwe Health Centre dated 13-April-2019 and signed off by Kagimu Dennis 	0

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract Sample projects: 1. Construction of 5 Stance Pit Latrine at Nyimbwa C/U P/S, Nandere Girls P/S and Kikunyo Kabugo P/S. Initiated on 27-Mar- 2020 and Approved on 19-May-2020 2. Completion of a 3 Classroom Block and Office at Lusenke C/U P/S Initiated on 6-Jan-2020 and Approved on 9-Jan-2020	1
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13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law Sampled procurement files include: 1. Siting, Drilling and Installation of 5 No Deep Boreholes Evaluation report and works contract availed on file Proc File: LUWE532/Wrks/19-20/00076; 2. Completion of a 3 Classroom block and office at Lusenke C/U P/S. Evaluation Report and works contract availed on file Proc File: LUWE532/Wrks/19-20/00071	1
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Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	There was NO Evidence that Luwero DLG had designated a person to coordinate response to feedback on grievances/complaints. There was NO Evidence that Luwero DLG had established a centralized Grievance Redress Committee (GRC).	0
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14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	There was NO Evidence that Luwero DLG had specified a system for recording, investigating and responding to grievances. There was NO Evidence that Luwero DLG had a defined complaints referral path and public display of information at LG offices.	0
	Maximum 5 points on this performance measure	If so: Score 2 or else 0		
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	There was NO Evidence that Luwero DLG had publicized the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.	0
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	<p>There was evidence that Environment, Social and Climate change interventions were integrated into LG Development Plans, annual work plans and budgets complied with as follows:</p> <p>Education:</p> <p>Construction of two classroom block at Bombo Common Primary school on page 295 of the LGDP AWP 67 there was evidence of budget of UGX 62,392,088 ;</p> <p>Health:</p> <p>Construction of 100 bed ward at Luwero Hospital phase 7 on page 246 of the LGDP and on page 65 of the AWP the budget was UGX 500,000,000; and</p> <p>Water Sector</p> <p>Drilling of 16 dip boreholes district wide desk review on page 268 of the LGDP and on page 87 of the AWP the budget of UGX 352,000,000 .</p>	1
	Maximum 11 points on this performance measure			

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p>	<p>During the District Technical committee meeting (DTPC) held on 30/03/2020 under minute no 5.4/Mar/DTPC/2020 (D)</p> <p>The heads of LLG were invited for the meeting and the DDEG guideline was disseminated.</p> <p>The office of the CAO wrote letter to the Town clerks and the Senior Assistant Secretaries letter dated 27/02/2020 disseminating the guidelines .</p>	1
		score 1 or else 0		
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p>	<p>There was NO Evidence that Luwero DLG incorporated Costed Environmental and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for Discretionary Development Equalization Grant (DDEG) infrastructure projects of the previous FY (2019/2020 FY) as Costed ESMPs for all infrastructure projects that were implemented in 2019/2020 FY under DDEG Funding were NOT incorporated into designs, BoQs, bidding and contractual documents, and were additionally NOT available.</p>	0
		score 3 or else score 0		

Safeguards for service delivery of investments effectively handled.	d. Examples of projects with costing of the additional impact from climate change.	There WAS Evidence that Luwero DLG had projects with costing of the additional impact from climate change and costing of additional costs of addressing climate change adaptation as exemplified by the following projects:
Maximum 11 points on this performance measure	Score 3 or else score 0	<p>- Construction of a Classroom Block at Kiiso P/S in Kamira Sub-county and Kyegombwa RC P/S in Luwero Sub-county under SFG Funding (LUWE/532/WRKS/20-21/00101). The Bill of Quantities (BoQ) presented in the Bidding Document that was Signed and Stamped on 06/October/2020 by Lint Consult and Engineering Limited had Element 13: Crosscutting Issues, Section B that indicated 400,000 UGX for environmental issues mitigation measures to be carried out by the District Environmental Officer with facilitation by the Contractor and with approval by the Project Manager/District Engineer before completion of the project.</p> <p>- Construction of a Two (2) Classroom Block at Lukole Umea P/S in Bombo Town Council and Ndejje Junior P/S in Ndejje Town Council under SFG Funding (LUWE/532/WRKS/20-21/00099). The Bill of Quantities (BoQ) presented in the Bidding Document that was Signed and Stamped on 07/October/2020 by Bweyo Technical Services Uganda Limited had Element 13: Crosscutting Issues, Section B that indicated 400,000 UGX for environmental issues mitigation measures to be carried out by the District Environmental Officer with facilitation by the Contractor and with approval by the Project Manager/District Engineer before completion of the project.</p>

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>There WAS Evidence that all projects were implemented on land where the LG had proof of ownership, access and availability, without any encumbrances as exemplified by the following:</p> <ul style="list-style-type: none"> - Luwero DLG Department of Natural Resources presented a Land Agreement Dated 10/02/2020 offering land for Construction of a Borehole at Ntebe Village, Buyuka Parish, Katikamu Sub-county, Luwero District; Embossed with Signatures of Land Owners and Witnesses; Signed and Stamped by Ntebe LC I Chairperson on 10/02/2020. - Luwero DLG Department of Natural Resources presented a Land Agreement Dated 09/09/2019 offering land for Construction of a Borehole at Bukusu Village, Makulubita B Parish, Makulubita Sub-county, Luwero District; Embossed with Signatures of Land Owners and Witnesses; Signed and Stamped by Bukusu LC I Chairperson. - Luwero DLG Department of Natural Resources presented a Land Agreement Dated 25/02/2018 offering land for Construction of a Borehole at Kibanga - Kabira Village, Kibanga - Kabira Parish, Kalagala Sub-county, Luwero District; Embossed with Signatures of Land Owners and Witnesses; Signed and Stamped by Kibanga LC I Chairperson on 25/02/2018. 	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>There was NO Evidence that Luwero DLG Environmental Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs; and monthly monitoring reports were NOT available.</p>	0

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:

Score 1 or else score 0

There WAS Evidence that Environmental and Social Compliance Certification Forms were completed and signed by Environmental Officer and Community Development Officer prior to payments of contractors invoices at interim and final stages of projects as exemplified by the following projects:

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Renovation of Registry, Doctors' Block and Theatre at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Partial Construction of Chain Link Fence at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Construction of Guard's House at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Roofing of Incinerator and Repair of Chain Link Fence at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

- Environmental and Social Certification for Higher Local Government Projects: Construction of Two (2) Classroom Block at Bombo Common P/S in Bombo Town Council in Luwero District; Dated 17/06/2020; Signed and Stamped by District Environmental Officer, Luwero DLG.

- Environmental and Social Certification for Higher Local Government Projects: Construction of 5-Stance Pit Latrines at Ten (10) Primary Schools in selected Sub-counties and Town Councils in Luwero District; Dated 17/06/2020; Signed and Stamped by District Environmental Officer, Luwero DLG.

- Environmental and Social Certification for Higher Local Government Projects: Drilling of Five (5) Boreholes at Kirembwe and Entebbe Villages in Katikamu Sub-county; Ndagga Village in Luwero Sub-county; Bukusu Village in Makulubita Sub-county; and Kibanga Village in Kalagala Sub-county; Dated 18/07/2020; Signed and Stamped by District Environmental Officer, Luwero DLG.

LG makes monthly
Bank reconciliations

Maximum 2 points on
this Performance
Measure

a. Evidence that the LG
makes monthly bank
reconciliations and are up to-
date at the point of time of the
assessment:

Score 2 or else score 0

There was evidence that the LG makes monthly bank
reconciliations and are up to-date at the time of the
assessment as follows:

1. Luwero District General Collection Account
Account No 9030005822709; reconciliation for;

Aug 2020 was done on 28/09/2020

Sept was done on 10/10/2020

Oct was done on 10/11/2020 ;

2. Luwero District UWEP No 9030012808347

August it was done on 31 /08/2020

Sept was done 30/ 09/ 2020

October was done on 03/11/2020; and

3. Luwero District Revenue Collection Account
Account 005320168000000

Aug was reconciled on 03/09/2020

Sept was on 2/10/2020

October was on 03/11/2020 .

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.

Score 2 or else score 0

There was evidence that the LG prepared all four sets of Internal audit reports as follows:

1st quarter report was on 15/11/2019 ;

2nd quarter report was on 17/02/2020 ;

3rd Quarter report was on 11/06/2020 ; and

4th quarter report was on 28/08/2020 .

Some of the issues raised were as follows:

1st quarter:

The revenue sharing is not fully followed

Unaccounted for funds UGX 62,191,691

Second quarter;

Noncompliance of revenue sharing ratios by the LLG

Unaccounted for fund by sub counties UGX 468,880,738 departments at the District, and UGX 29,096,000 for the sub counties

3rd quarter.

UPE schools, inadequate classrooms and seats,

Delayed accountability of the UPE funds UGX 105,731,869

Quarter 4

Non use of the district seal by the districts on the tickets issued from stores

Lack of control on receipt books issued to check point revenues collectors.

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

There was evidence that the LG provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the FY 2019/2020 as follows:

Quarter one on date 15/11/2019;

Quarter two on date 19/02/2020 ;

Quarter 3 was on date 23/06/2020 ; and

Quarter 4 was on date 28/08 /2020 .

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>The LG submitted 4 quarter reports to CAO ,LGPAC, and LGPAC reviewed them;</p> <p>Quarter one on date 15/11/2019 ;</p> <p>Quarter two on date 19/02/2020 ;</p> <p>Quarter 3 was on date 23/06/2020 ; and</p> <p>Quarter 4 was on date 28/08 /2020 .</p> <p>Date of review by the LG PAC ;13/10/2020 ;14/10/2020 ;15/10/2020 ;16/10/2020 and 23/10/2020</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>As per the LG approved Plans and Budget 2019/2020 on page 2; the planned revenue was UGX 1,588,984,690 page 24</p> <p>As per the Annual financial statement on page 7 actual revenue collected was UGX1,225,538,026 Therefore, revenue realised was 77%</p>	0
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% - 10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>As per the LG Annual financial accounts 2018/2019 actual Revenue collection was UGX 511,504,580</p> <p>Actual revenue for 2019/2020 was UGX1,225,538,026 There was 58% increase.</p>	2
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20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>The LG collected LST of UGX 215,996,666 and transfered to the LLG the mandatory 65% as blow:</p> <p>There was a transfer of UGX140,397,832 on 04/11/2019 for 7 LLG.</p>	2
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Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>There was evidence that the procurement plan and awarded contracts and all amounts are published.</p> <p>Visited the Notice Board and the sampled projects had a display date, a procurement registration number, date of bid, Amount of contract and the best evaluated bidder.</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>There was evidence that the LG publicised the LG performance assessment results and its implications were put on the noticeboard on 31/07/2020</p> <p>The summary of information on the notice board were;</p> <p>Accountability required was 83% ;</p> <p>Cross cutting performance measures was 76% ;</p> <p>Education performance measures was 77% ;</p> <p>Health Performance measures was 72% ; and</p> <p>Water performance measures was 61% .</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that the LG conducted discussions with the public and provided feedback on the projects implemented in the FY 2019/2020 were as follows:</p> <p>Construction of two classroom block at Bombo mixed , the community were informed of the progress of the project and advised to ensure that the play their role of monitoring.This was communicated during the baraza held on 18/01/2020;</p> <p>There community were also informed about the progress of the Upgrading of Katungo Health Centre II to III which was also on going during the baraza held on 18/01/2020;</p> <p>There community were informed about the progress of the drilling of 5 dip water boreholes and advised to ensure they use the facility properly. They also discussed with the community about the issue of its operation and maintenance and advised to form Village water Committee; and</p> <p>During the baraza held on 30/04/2020, the community were also advised about the free health services available. The concern from the community was stock out of medicines.</p>	1

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was evidence about the approved market /landing/tax part fees for LG for the FY 2019/2020</p> <p>A letter entitled Local tax collection procedures in Luwero was pinned on the noticeboard dated 26/10/2020. There was also a schedule of approved charges and rates of services required 2020/2021.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared an IGG report which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There was no report of IGG that was raised by Luwero LG</p>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>There was evidence that the PLE pass rate improved by 3.2% between the previous year but one and the previous year as calculated below:</p> <p>2018: (DIV 1: 1139; DIV 2: 6295; DIV 3: 2454; TOTAL PASS: 9888; TOTAL CANDATES: 13046).</p> <p>2019: (DIV 1: 1613; DIV 2: 5985; DIV :2406; TOTAL PASS: 10004; TOTAL CANDATES: 12675).</p> <p>Therefore, the calculated percentage for 2018 was $(10004/12675 \times 100) = 78.9\%$ while the calculated percentage for 2019 was $(9888/13046 \times 100) = 75.7\%$. Hence, the percentage decline was $78.9\% - 75.7\% = 3.2\%$.</p>	2
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>There was evidence that the UCE pass rate had improved by 7.3% between the previous year but one and the previous year as calculated below:</p> <p>2018: (DIV 1: 677; DIV 2: 1015; DIV 3: 1007; TOTAL PASS: 2699; TOTAL CANDATES: 4741).</p> <p>2019: (DIV 1: 885; DIV 2: 1494; DIV 3: 1730; TOTAL PASS: 4109; TOTAL CANDATES: 5128).</p> <p>The calculated percentage for 2018 was $2699/4741 \times 100 = 56.9\%$ While the calculated percentage for 2019 was $4109/5128 \times 100 = 80.1\%$.</p> <p>Therefore $80.1\% - 56.9\% = 23.2\%$ improvement.</p>	3
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 2 • Between 1 and 5% score 1 • No improvement score 0 	Not applicable	0

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

a) If the education development
grant has been used on eligible
activities as defined in the
sector guidelines: score 2; Else
score 0

There was evidence that the Education
development grant was use on eligible activities as
defined in the Sector Guidelines. The SFG mounted
up to UGX 1,927,413,298= for FY 2019/2020. On
10th /10/2019, all departments were informed to
prepare 1st Quarter PBS budget Performance
reports for FY 2019/2020 and a budget frame work
paper for FY 2020/2021 and it was to be submitted
by 15th /10/2019 to the CAO.

The Education development grant was spent on
eligible activities. Evidence was obtained from
submission of annual work plan of the Education
development Grant for FY 2019/2020 and
accountability for school facilities grant for FY
2019/2020. The grant was used to construct
projects like: Construction of 2-classroom blocks at
Kankoola P/S and Kawe P/S each at 58,013,420=;
Construction of 2 classroom blocks at Nsasi Umea;
Prince Musanje P/S; Nkokonjeru P/S and Kasana
St. Jude each at 57,846,778=.

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

b) If the DEO, Environment
Officer and CDO certified works
on Education construction
projects implemented in the
previous FY before the LG
made payments to the
contractors score 2 or else
score 0

There was evidence of certification of projects like:

1. Certification of 58,013,420/= on 17th /03/2020 for the Construction of two classroom blocks at Kakoole Primary school in Kikyusa Sub county by ELTON Construction company LTD under voucher number 28872887 signed by the CAO on 5/5/2020.
2. Contract for construction of two classroom blocks at Kawe P/S in Kikyusa Sub county under procurement ref No. Luwe 532/wrks/19-20/00070 with terms of contract made on 25/10/2019 between Luweero District and ELTON construction Company Ltd under voucher number 28872887 at 58,013,420/= signed by the CAO on 24TH /5/2020.
3. Certification of 52,160,146/= was on 17th /04/2020 for the Construction of two classroom blocks at Nsasi UMEA Primary school by KAST ENGINEERING WORKS LTD under voucher number 28800630 signed by the CAO on 5/5/2020.
4. Certification of 57,846,775/= was on 26th /03/2020 for the Construction of two classroom blocks at ST. Jude Kasana Primary school in Luweero Town council under contract number Luwe 532/wrks/19-20/00068 by KAST ENGINEERING WORKS LTD under voucher number 28800630 signed by the CAO on 5/5/2020.
5. Certification of 57,846,778/= was on 16th /04/2020 for the Construction of two classroom blocks at Nkokonjeru Primary school in Bombo Sub county under contract number Luwe 532/wrks/19-20/00068 by KAST ENGINEERING WORKS LTD under voucher number 28855325 signed by the CAO on 5/5/2020.
6. Construction of two classroom blocks under procurement ref. number LUWE532/WRKS/19-20/0069 at Prince Musange Primary school in Bombo Sub county completed on 17/3/2020 at 57,846,778=.
7. Work was still in progress for Katikamu sees secondary school under the UGIFT facilitation.

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

c) If the variations in the
contract price are within +/-20%
of the MoWT estimates score 2
or else score 0

The variations in contract price of sampled
works/supplier for the previous FY contracts are all
within +/-20% of the LG Engineers estimates

The following are the sampled projects.

1. Construction of 2 Classroom block at Bombo
Mixed Primary School

Contract No: LUWE532/Wrks/19-20/00067

Approved under: Min 009/LDLGCC/19-20

Contract Price: 58,162,993

Engineer's Estimate:60,000,000

Price Variation: -1,837,007

Percent Variation: -3.06%

Comment: No variation

2. Completion of a 3 Classroom Block and Office at
Lusenke C/U P/S

Contract No: LUWE532/Wrks/19-20/00071

Approved under: Min 009/LDLGCC/19-20

Contract Price: 63,551,186

Engineer's Estimate:64,000,000

Price Variation: -448,814

Percent Variation: -0.7%

Comment: No variation

3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects were completed as per the work plan in the previous FY	Education projects, for the previous FY, were all completed as per work plan/Consolidated procurement plan.	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	100% of the projects were completed.	
			The following projects were completed as per the contract register that detailed the status of completed projects.	
			1. Two Classroom block at Kawe, Kankole	
			2. Two Classroom block at Prince Musanje and Nkokonjeru	
			3. Two Classroom block at Bombo Mixed P/S	
			4. Two Classroom block at St. Jude	
			5. Three Classroom Block at Lusenke	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines	According to ‘the approved recommended customized staff establishment for Luwero DLG for FY 2019/2020 Staffing levels as at 1st July 2020’ the staff establishment is 2647 Teachers.	2
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 	Positions filled = 2480 Teachers.	
			2480/2647x100= 94%	
			94% Positions filled.	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,	There was evidence from Luwero LG that 100% (230/230 X 100=100%) Primary schools and all the 17 secondary schools (100%) meet basic requirements and minimum assets standards set out in the DES Guidelines.	3
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If above 70% score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 		

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2

- Else score: 0

There was evidence that the LG accurately reported on teachers and where they are deployed with recruitment submissions for more officers.

Letters written for submission of recruitment plan for 20/21 for LD LG on 30/10/2019, CAO endorsed while the PS at the MoES received it on 7/11/2019.

3/9/2020, the CAO declared 9 vacant positions in the LG cc to the secretary for Education Luweero district.

There was evidence that the Local government obtained teacher deployment. As per the teacher's deployment list at the LG and that at the sampled school:

Below is the verification of deployment at the LG and the sampled school to verify deployment as per the minimum standards (Key: - SL- Deployed Staff List; and PV- Physical verification of deployment on ground):

-Ttama CU P/S was below the minimum standards with SL- 14, PV-11. This was due to two transfers from Ttama P/S and not replaced. One teacher Namulondo Ruth of 43 years requested for early retirement due to medical problems as recommended by Dr. Ntende Jacob, the ophthalmologist at Mulago Hospital on 2/8/2019. Ms. Namulondo however still appears on the staff list;

- Luweero Girls P/S - SL- 13, PV-14;

- Katikamu Sebamala C/U P/S SL- 12, PV- 12.

5

2

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2

- Else score: 0

There was evidence that the LG had a school Asset register accurately reporting on the infrastructure in all registered primary schools as prepared by the DEO accurately reporting on no. of classrooms, number of Latrines, number of Desks, number of Laboratories and teacher's accommodation as of 2019/2020. For example, Katikamu Sebamala C/U P/S had 8 classrooms in good condition, 5 classrooms needing rehabilitation with 2 new facilities, 3 Latrines with 1 needing rehabilitation, 80 desks with 45 needing rehabilitation and 56 more desks needed, need two more latrines, need more classroom blocks;

Ttama C/U P/S in Luweero SC had 7 classrooms with 7 needing rehabilitation, 3 school latrines with 1 finished, 1 rehabilitaed and need 2 more latrines, 90 desks with 30 needing rehabilitation and needed 200 more; and

Luweero Girl's C/U P/S had 9 classrooms, and needed 12 more; had 2 latrines, and 138 desks with 2 needing rehabilitation.

6

0

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4

- Between 80 – 99% score: 2

- Below 80% score 0

There was no evidence the LG had ensured that all registered primary schools had complied with MoES annual budgeting and reporting guidelines and that they had submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0 	<p>There was no evidence that UPE schools were supported to prepare and implement SIPs in line with inspection recommendations. All the three sampled schools were not supported to prepare and implement SIPs in line with Inspection recommendations. However, Ttama C/U P/S had submitted a 5-year school development plan recommended by the School Management Committee for a period of 2016-2021 by 15th /4/2016.</p> <p>At Katikamu Sebamala C/U P/S, there were plans to improve the school kitchen, installation of electricity in teachers houses under min/xxiv/2019 in a meeting held on 5/2/2019 by the school management committee.</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 	<p>There was evidence that LG collected and compiled EMIS return forms for all registered schools from the previous FY year and information from EMIS data from MoES and it was consistent with that provided by the LG. Both sets of data had 230 UPE schools however,</p> <p>69.5% (160/230 X 100) submitted and 92.1% (212/230 X 100) of the schools signed the performance agreement respectively by 10/3/2020 as submitted by the DEO to the CAO.</p>	2

Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>There was evidence that LG Education department of Luweero District budgeted for at least a H/T and a minimum of 7 teachers as per Performance Contract FY 2020/2021. Luweero LG had 230 primary schools and 2647 teachers including Head teachers. The deployment list by school shows that at least seven (7) teachers are deployed in each primary school as per performance contract. Luweero LG had a total ceiling of 1418 teachers. The approved LG budget FY 2019/2020, indicated a wage of UGX 18,091,618,031 budgeted for the H/T and a minimum of 7 teachers per school from the BFP raw data document.</p> <p>For example:</p> <ol style="list-style-type: none"> 1. Katikamu Sebamala P/S in Katikamu sub county with an enrollment of 450 has 12 teachers including the head teacher; 2. Ttama C/U P/S in Luweero Sub country with an enrollment of 601 has 11 teachers including the Head teacher; and 3. Luweero Girl's P/S in Luweero TC with an enrollment of 646 has 14 teachers including a Head teacher. 	4
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>There was evidence that the LG accurately reported on Teachers and where they were deployed. From the Performance contract, Staff lists and List of schools LG has deployed a Head Teacher in all the 230 government primary schools and a minimum of 7 teachers per school (or a minimum of a teacher per class for schools with less than P.7) and a maximum of 13 teachers per school for this current FY 2020/2021. The total number of teachers was 2647.</p>	3
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>There was evidence that the teacher deployment data was disseminated or publicized on LG and or School noticeboard.</p> <p>From the sampled school which were; Katikamu Sebamala C/U P/S in Katikamu sub county, Ttama C/U P/S in Luweero Subcounty and Luweero Girl's P/S Luweero TC, the list of teachers deployed were found displayed on the school notice board in the Head Teachers notice board.</p>	1

8

0

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

From the appraisal files for the 10 head teachers sampled non of them had signed a performance report for 2019. The head teachers included;

Kigozi Ibrahim,

Nakasaire Harriet,

Namubiru Gorreth Kasozi,

Ebietu Cosmas,

Isabirye Paul,

Birabwa Juliet,

Kyambade Richared,

Ssemanda Moses,

Nakyejwe Suzan and

Nkonge Alice.

8

0

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

b) If all secondary school head teachers have been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM

Score: 2 or else, score: 0

Only 1 out of the 10 sampled files for secondary school head teachers had been appraised with evidence of appraisal report submitted by D/CAO to HRM.

The head teacher by the names of Ssenyonjo Harunah (Lukole SS) was appraised on 26/2/2020.

The headteachers who were not appraised included;

Henry Sentumbwe (Makulubita Seed SS), Wagaba Mike (Kakoola SS), Mayega Robert (St. John Nandere), Assimwe Mbekeka (Mpigi SS) and Kahigiriza Charles (Ndejje SS) among others.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was no evidence that staff in the LG Education department were appraised against their performance plans.</p>	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was no evidence of a training plan to address identified staff capacity gaps at the LG level at the time of assessment.</p>	0
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The LG had confirmed in writing the list of schools, their enrolment and budget allocation in the PBS by December 15th Annually. There was submission of H/T who had attained the required qualifications as in accordance with establishment notice number 2 of 2014 on policy shift of school headships on 11/11/2019 by the DEO.</p> <p>On 15/1/2020, the LG submitted recommended caretaker H/Ts for promotion by Kiyemba Enoch the Ass. DEO and sined by the PHRO on 16th /1/2020.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p>	<p>There was evidence that the LG had Annual Sector work plan for FY 2019/2020 and had made allocations to inspection and monitoring functions in line with sector guidelines. The activities conducted included; Planning meetings for the inspection, conducting inspections and monitoring visits to primary and secondary schools, conducting sector meetings to review findings, dissemination of findings to head teachers and follow up inspections to check on the action of the recommendations and all were within the sector guidelines which included:</p>	2
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score:2 else, score: 0</p>	<p>Circular on Guidelines on school charges, Budget implementation guidelines for FY 2019/2020 for decentralized Education Sector</p> <p>Guidelines for inspection of Education institutions on standard operating procedures by DES as of October 2020.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>The LG did not warrant School Capital grant transfers for the FY 2019/20 within the required 5 working days from the day of funds release as follows:</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>Quarter 1 warrant was on 02/8/2019, release date was 9/7/2019; 23 days ;</p> <p>Quarter 2 warrant was on 16/10/2019, release date was 2/10/2019; 10days ;</p> <p>Quarter 3 warrant was on 29/01/2020, release date was 8/1/2020;15days and</p> <p>Quarter 4 warrant was on 08/04/2020, release date was 28/4/2020, 5 days.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>There was no evidence that the LG has invoiced and that the DEO had communicated /publicized capitation releases to schools within three working days of release from MOFPED.</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>	<p>However, from the three sampled schools, it was by word of mouth of the chairman of the Head teacher's association who posts on a WhatsApp group and calls up people about the release of the funds. Evidence of UPE capitation grant for Quarters 3 and 4 for the FY 2019/2020 and Quarter 1 for the FY 2020/2021 capturing the cost centre name, supply number, EMIS number, UPE enrolment budget, UPE fixed minimum cost budget and the total approved budget for that financial year was found on the noticeboard at the LG but not dated.</p>	

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 	<p>There was evidence that the LG Education department prepared an inspection plan and meetings conducted to plan for school inspections. This was done in a joint meeting held on 10th /10/2020 of the SAS and inspection team under minute 3.</p> <p>DEO held meeting with assessors and inspectors on 20/10/2020 classifying the inspection procedures following through the SOPs and Inspection reports from each sub county as discussed under minute 2.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 – 99% score 1 • Below 80%: score 0 	<p>There was no evidence from the DIS of LG inspection and monitoring reports for 230 UPE schools inspected and monitored from the previous three school terms as follows:</p> <p>Inspection report for Term 3 was submitted on 28th /11/2019. Inspection report was for the Months of Sept, Oct and Nov of 2019 in 199 UPE schools out of 230 schools. Inspection was also done in 147 private schools. Therefore, 86.5% (199/230 x 100) of the UPE schools were inspected for Term 3. 17 USE schools of 20 ie 85% (17/20 x 100) were inspected. Inspection was done in 10 private schools.</p> <p>Inspection report for Term 1 2020 was submitted on 5th /5/2020. Inspection report was for the Months of Feb and March of 2020 in 157 UPE schools out of 230 schools. Inspection was also done in 101 private schools. Therefore, 68.2% (157/230 x 100) of the UPE schools were inspected for Term 1. There was no record on USE schools inspected.</p> <p>There was no evidence of an inspection report for Term 2. The average percentage of schools that have been inspected and monitored would be $85+68.2+0$ divided by the three terms giving 51%.</p> <p>On 22/7/2020, there was submission of summary report on home learning assessment during the COVID 19 lockdown to the CAO. Acknowledgement note for inspection report for Term 2 2020 submitted on 7/8/2020 by Hajji Kalyesubula the DIS.</p>	0

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was no evidence that School inspection reports were discussed and used to recommend for corrective actions, and that those actions have subsequently been followed –up.</p> <p>On 28th /11/2019, an inspection report for term 3 2019 by Kamoga Uthman was submitted and discussed. However, there were no submission of inspection reports for Terms 1 and 2.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>There was no evidence that the DIS and DEO presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES). At the school level, Nansamba Beatrice of Ttama C/U P/S was inspected on 8/10/20 by Kyomugisha Mary in order to establish guidelines and note key issues in keeping schools safe in the covid 19 pandemic.</p>	0

Routine oversight and monitoring

Maximum 10 points on this performance measure

e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0

There was evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, during the previous FY as follows:

Quarter 1 Meeting was held on 11/9/2019 **MIN 2//EDUC/CMTY/SEPT/2019**. There was discussion on Luwero secondary that was performing poorly due to the technical staff that failed to guide students properly. There was a discussion on the work plan that reflected on the activities to be implemented totalling UGX 33,394,342,531. There was discussion on the construction of staff quarters at kalasa college

The DEO informed the members about the challenge that was with Luwubbe Seed Secondary School about the need to change the name;

Quarter 2 Meeting was held on 4/12/2019 **MIN7/EDUC/CMTY/DEC/2019**. There was discussion on the performance of the department that stood at 75%. There was request for the construction of seed secondary school inLuwero town council, Namayanba Primary School was hit by tree and therefore required emergence assistance;

Quarter 3. Meeting was held on 12/02/2020 **MIN 12/EDUC//CMTY/FEB//2020**. PLE performance results was discussed, many students were found to be in Division X and Division U. The committee resolved to recognise the best performing schools ,10 government and 10 private primary schools, 5 government and 5 private schools to be recognised;

Quarter 4. Meeting was held on 07/05/2020 **MIN 12/EDUC/CMTY/MAY/2020**. The construction of Bamunanika Technical school was discussed, the construction was halted due to funding. There was also discussion on reading materials to students that were found to be very few. The army renovated Lutembe and Galikwoleka Primary School during the Terehe Sita celebration.

There was the issue where staff are assigned to care take the school, this was found to be unnecessarily long.

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was evidence that the LG Education department conducted activities to mobilize, attract and retain children at school. There is a report on the Luweero District LG education Conference held on 10th /12/2019 at Katikamu SDA SSS under the theme of exploiting existing linkages for enhancement of quality education in Luweero District. Issues discussed included providing lunch for all children in school, School Management Commitees and parents to start gardens to give food to schools, parents to provide scholastic materials for their children while in school as part of activities to keep children in school.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was evidence that there was an up-to-date LG assets register as of 30th /June/ 2019 accurately reporting on the infrastructure in all registered primary schools as prepared by the DEO accurately reporting on no. of classrooms, number of Latrines, number of Desks, number of Laboratories and teacher's accommodation. The asset register sets out school facilities and equipment relative to basic standards established using the Data on Government aided primary school by sub county and parish lists in the DEO's office as a school register.</p>	2
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Planning and budgeting for investments

Maximum 4 points on this performance measure

b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, *score: 1 or else, score: 0*

There was evidence that the LG conducted a desk appraisal for all sector projects and the prioritised investment were: derived from the LGDP; eligible for expenditure under sector guidelines and funding source as follows:

Construction of two classroom block at Bombo Common Primary school date of desk appraisal was 03/03/2020 LGDP page 252 LGDP;

Construction of two classroom block at Kyegobwa COU Primary school desk appraisal 03/03/2020 In page 252 of the LGDP;

Construction of two classroom block at Kyiso Primary School desk appraisal was on 03/03/2020 page 252 of the LGDP;

Construction of two classroom block at Wobulenzi Umea Primary School desk was on 03/03/2020 in pages of 252 of the LGDP;

Construction of two classroom block at Kansiri desk appraisals was done 03/0/2020 and field in page 252 of the LGDP;

Construction of two classroom block at Kyengombwa RC Primary School desk appraisal was on 03/03/2020 page 252 of the LGDP ;

Construction of classroom block at Ndejje Junior Primary School desk 03/03/2020 a in page 252 of the LGDP ;

Construction of 12 stance pit latrines in 12 schools District wide desk was on 03/03/2020 and in page 252 of the LGDP; and

Drilling of 16 dip boreholes district wide desk review was done on 03/03/2020 and page 268 Of the LGDP .

Planning and budgeting for investments

Maximum 4 points on this performance measure

c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, *score 1 else score: 0*

There was evidence that the LG conducted field Appraisal for ;technical feasibility; environmental and social acceptability; and (iii) customised designs over the previous FY

Construction of two classroom block at Kyengombwa RC Primary School desk appraisal and field appraisals was on 03/03/2020 and with customised designs costs included in the BOQs;

Construction of classroom block at Ndejje Junior Primary School desk and field appraisals was on 03/03/2020 with customised designs costs included in the BOQs; and

Construction of 12 stance pit latrines in 12 schools District wide desk was on 03/03/2020 and with customised designs costs included in the BOQs.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The education infrastructure projects have been incorporated into the LG procurement plan</p> <p>Sampled projects include:</p> <p>1. Two Classroom Block at Prince Musanje and Nkokonjeru</p> <p>Procurement Requisition was availed</p> <p>Approval Minute: Min 009/LDLGCC/Wrks/19-20</p> <p>Page 1 Annex to Procurement Plan</p> <p>2. Three Classroom Block at Lusenke:</p> <p>Procurement Requisition was availed.</p> <p>Approval Minute: Min 009/LDLGCC/Wrks/19-20</p> <p>Page 3 Annex to Procurement Plan</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>The education infrastructure investments were approved by CC under the following minutes</p> <p>1. Min 009/LDLGCC/19-20 – Five Stance Pit Latrine at Nyimbwa</p> <p>2. Min 009/LDLGCC/19-20 – Two Classroom block at Masenje and Nkokonjeru Islamic P/S</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>Projects constructed within the last FY were not overseen by an implementation team as prescribed within the sector guidelines</p> <p>The LG did not establish a Project Implementation Team (PIT) for school construction projects constructed within the last FY. Only the Contract Manager and Project Manager constituted the implementation team.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>The standard technical designs provided by the MoES were followed.</p> <p>Spot measurements at the building site were taken and they complied with the MoES standard designs.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was no evidence that monthly site meetings were conducted for all Education sector infrastructure projects planned in the previous FY.</p> <p>Data to that effect was not availed.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>During supervision, there was no full participation of engineers, environment officers, CDOs, at critical stages of construction.</p> <p>The projects below were reviewed:</p> <ol style="list-style-type: none"> 1. Construction of 2 Class room block Dated 17-March-2020 signed off by –Kagimu Dennis 2. Construction of a 2 Classroom Block at Kasana Signed off by Kagimu Dennis dated 24-Feb-2020 	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The sector infrastructure projects were properly executed and payments to contractors made within specified timeframes within the contract as follows:</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom blocks at Prince Musange Primary School <ul style="list-style-type: none"> Contract no: LUWE 532/WRKS/19-20/00069 Contract sum: UGX 57,846,778 Payment process initiation was on 17/03/2020 District Engineer signed 26/03/2020 DEO signed on 26/03/2020 CAO signed on 26/03/2020 Date of payment was on 03/04/2020 Amount paid UGX 52,160,146 Interim certificate 17/03/2020 ; 2. Construction of 2 classroom block at Kokojeru Islamic Primary School. <ul style="list-style-type: none"> Contract no LUWE 532/WRKS/19-20/00069 Contract sum: UGX 57,846,778 Payment process initiation was on 17/03/2020 District Engineer signed 26/03/2020 DEO signed on 26/03/2020 CAO signed on 26/03/2020 Date of payment was on 03/04/2020 Amount paid UGX 52,160,146 	1

Interim certificate 17/03/2020 ; and

3. Construction of Katikamu Seed Secondary School

Contract No MoES/UGIFT/WRKS/00119-21

Contract Amount: UGX 2,348,897,939

Initiation of payment was on 27/05/2020

District Engineer signed on 28/05/2020

DEO signed on 04/06/2020

CAO signed 23/06/2020

CFO signed on 23/06/2020

Payment was on 25/06/2020

Amount paid UGX 143,150,779

Interim certificate: dated 27/05/2020 .

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, score: 1, else, score: 0	The LG Education department did not submit a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30 Procurement plan for 2020/2021 was submitted on 04-Sept-2020	0
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0	The procurement files for education infrastructure projects for the current FY are complete. Sampled : 1. Two Classroom Block at Bombo Mixed Primary School Proc File: LUWE532/Wrks/19-20/00067 2. Three Classroom block and Office at Lusenke C/U P/S Proc File: LUWE532/Wrks/19-20/00071	1

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was NO Evidence that Luwero DLG Education grievances had been recorded, investigated, and responded to in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating and responding to grievances; (iv) define a complaints referral path; (v) publicly display grievance redress information at LG offices; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.</p>	0
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was no evidence that LG had disseminated the Education guidelines to provide for access to land (without encumbrances), proper siting of schools, "green" schools and energy and water conservation in two of the schools.</p>	0

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>There WAS Evidence that Luwero DLG had Costed ESMPs incorporated in BoQs and contractual documents to comply with safeguards requirements within the Education Sector Guidelines as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Construction of a Classroom Block at Kiiso P/S in Kamira Sub-county and Kyegombwa RC P/S in Luwero Sub-county under SFG Funding (LUWE/532/WRKS/20-21/00101). The Bill of Quantities (BoQ) presented in the Bidding Document that was Signed and Stamped on 06/October/2020 by Lint Consult and Engineering Limited had Element 13: Crosscutting Issues, Section B that indicated 400,000 UGX for environmental issues mitigation measures to be carried out by the District Environmental Officer with facilitation by the Contractor and with approval by the Project Manager/District Engineer before completion of the project. - Construction of a Two (2) Classroom Block at Lukole Umea P/S in Bombo Town Council and Ndejje Junior P/S in Ndejje Town Council under SFG Funding (LUWE/532/WRKS/20-21/00099). The Bill of Quantities (BoQ) presented in the Bidding Document that was Signed and Stamped on 07/October/2020 by Bweyo Technical Services Uganda Limited had Element 13: Crosscutting Issues, Section B that indicated 400,000 UGX for environmental issues mitigation measures to be carried out by the District Environmental Officer with facilitation by the Contractor and with approval by the Project Manager/District Engineer before completion of the project. 	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was NO Evidence that Luwero DLG had proof of land ownership, access and availability to conduct planned school construction projects as no land titles, agreements, Memoranda of Understanding or consent letters from landowners were provided by the LG.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was NO Evidence that Luwero DLG conducted support supervision and monitoring over the previous FY (2019/2020 FY) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and monthly monitoring reports were NOT available.</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There WAS Evidence that Environmental and Social Certifications were approved and signed by Environmental Officer and Community Development Officer prior to executing contractor payments at interim and final stages of all ongoing projects as exemplified by the following projects:

- Environmental and Social Certification for Higher Local Government Projects: Construction of Two (2) Classroom Block at Bombo Common P/S in Bombo Town Council in Luwero District; Dated 17/06/2020; Signed and Stamped by District Environmental Officer, Luwero DLG.

- Environmental and Social Certification for Higher Local Government Projects: Construction of 5- Stance Pit Latrines at Ten (10) Primary Schools in selected Sub-counties and Town Councils in Luwero District; Dated 17/06/2020; Signed and Stamped by District Environmental Officer, Luwero DLG.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The LG registered 19% increased utilization of Health Care Services based on OPD attendance (from 29,393 in FY 2018/2019 to 36,090 in FY 2019/2020) and 13% based on number of delivery (from 553 in FY 2018/2019 to 634 in FY 2019/2020) at the 3 sampled health facilities including Kasozi HCIII, Bamunanika HCIII and Butundumula HCIII. OPD attendance changes at each of the 3 health facilities were as follows; at Kasozi HCIII it increased from 6,983 to 10,139; at Bamunanika HCIII IT decreased from 13,030 to 11,855; and at Butuntumula HCIII it increased from 9,380 to 14,096. The number of deliveries changed from; 74 to 119 at Kasozi HCIII, 361 to 358 at Bamunanika HCIII, and 118 to 157 at Butuntumula HCIII.</p>	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 2 • 50 – 69% score 1 • Below 50%; score 0 	<p>Not applicable. To be assessed next year.</p>	0

<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>b. If the average score in the RBF quarterly quality facility assessment for HC IIIs and IVs is:</p> <ul style="list-style-type: none"> • Above 75%; score 2 • 65 – 74%; score 1 • Below 65% ; score 0 	<p>The average score for RBF assessment for the last quarter was 84.9%. The details are as follows:</p> <ol style="list-style-type: none"> 1. Bugema HCIII – 96 ; 2. Kamira HCIII – 95 ; 3. Butuntumula HCIII – 93 ; 4. Namaliga HCIII – 93 ; 5. Kasozi HCIII – 93 ; 6. KIBENGO HCIII – 92; 7. Kikoma HCIII – 90 ; 8. Ziobwe HCIII – 89 ; 9. Wabusana HCIII – 89; 10. Nakatonya HCIII – 89; 11. Kalagala HCIII – 89 ; 12. Natyole HCIV– 89 ; 13. Bamunanika HCIII – 89; 14. Bombo HCIII – 89 ; 15. Katikamu HCIII – 87; 16. Nyimbwa HCIV – 86 ; 17. Bishop Ceaser Asili Hospital – 86; 18. Makulubita HCIII – 83 ; 19. Luwero HCIV – 82 ; 20. Katikamu Kisule HCIII – 81; 21. Bowa HCIII – 80 ; 22. Bowa HCIII – 80 ' 23. Sekamuli HCIII – 80; 24. Njovu HCIII – 76 ; 25. Kyalugondo HCIII – 75; 26. Bukalasa HCIII – 71 ; 27. Kasaala HCIII – 68; and 28. Nsawo HCIII – 66 .
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3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The health development grant was UGX45,395,000 as per the AWP and all was spent as follows:</p> <ol style="list-style-type: none"> 1. Construction of 5 stance pit latrine at Kalangala Health centre III and Zirobwo Health Centre III UGX 29,984,744 2. Construction of 5 stance pit latrine at Kanyada Health Centre III at UGX 14,859,740 	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>There was evidence that DHO LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers as follows:</p> <ol style="list-style-type: none"> 1. Construction of Pit latrine at Kanyanda Health Centre II <ul style="list-style-type: none"> Contract No: LUWE 532/WRKS/18-19/00097 Contract Sum: UGX 14,859,740 Payment initiation 10/06/2020 DHO signed on 10/06/2020 District Engineer signed on 10/06/2020 CAO signed 16/06/2020 Internal Audit signed 17/06/2020 CFO signed on 17/06/2020 Payment date 29/05/2020 UGX 13,398,952 Final certificate 10/06/2020 ; 2. Construction of the upgraded Katungo Health Center II to III <ul style="list-style-type: none"> Contract No MoH-UGIFT/WRKS/2018-19/00016 Contract Sum: UGX 487,454,960 Payment initiation was on 7/09/2019 DHO signed on 7/09/2019 District Engineer 7/09/2019 Internal Audit 8/09/2019 CAO signed on 26/09/2019 CFO signed on 11/11/2019 Payment date 22/01/2020 Amount paid UGX199,555,064 Interim certificate 07/09/2019 ; and 	2

3. Construction of 100 beds Kasana Health Centre 4

Contract No Luwe 532/19-20/00180

Contract Sum: UGX 685,346,507

Payment initiation 4/05/2020

DHO signed on 7/05/2020

District Engineer signed on 7/05/2020

Internal Auditor 14/05/2020

CFO signed on 15/05/2020

CAO signed on 14/05/2020

Payment 29/05/2020

Amount UGX 99,893,640

Certificate date 7/05/2020 .

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0

The variations in contract price of sampled works/supplier for the previous FY contracts were within +/-20% of the LG Engineers estimates

Project Sampled

1. Construction of 5 Stance Lined Pit Latrine at Damascus P/S, Kyetume C/U P/S and Lukomera C/U P/S

Contract No: LUWE532/Wrks/19-20/00087

Approved under: Min 009/LDLGCC/19-20

Contract Price: 48,261,292

Engineer's Estimate:49,200,000

Price Variation: -938,708

Percent Variation: -1.91%

Comment: No variation

2

3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	Health projects, for the previous FY, where contracts were not all completed.	1
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>80% of the contracts were completed. (4/5*100%)</p> <p>The projects completed include:</p> <ol style="list-style-type: none"> 1. Pit Latrine at Nyimba; 2. VIP latrine at Kasana Market; 3. 5 Stance pit latrine at Damascus and Kyetum; 4. 5 Stance Latrine at at Kikome and Zirobwe HC III. <p>Projects not completed.</p> <ol style="list-style-type: none"> 1. 100 Bed wing at Luwero Hospital. 	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	According to the 'the approved recommended customized staff establishment for Luwero DLG for FY 2019/2020 Staffing levels as at 1st July 2020',	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>the staffing level for HCIV is 96 and</p> <p>Positions filled are 101 with an excess of 5.</p> <p>The staffing level for HCIIIs is 320 and</p> <p>Positions filled are 292.</p> <p>Total number of staff recruited for HCIII and HCIV = 393-5=388</p> <p>$388/416 \times 100 = 93\%$</p> <p>NB: The excess five were not included in the calculations.</p>	

Achievement of Standards: The LG has met health staffing and infrastructure facility standards

Maximum 4 points on this performance measure

b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.

• If 100 % score 2 or else score 0

There was no evidence that the LG Health infrastructure visited conform to the approved designs.

Since there was no upgrade from HC II to HC III, the assessment team were availed with data for upgrade from HC IV for Hospital hence the measurements were done for Luwero Hospital.

Standard Drawings – Luwero Hospital

Entrance door: Height – 2.4m, Width – 1.8m

Ward window: Height – 1.5m, Width – 1.2m

Dispensing window: Height – 1.5m, Width – 0.9m

Measured Dimensions – Luwero Hospital

Entrance door: Height – 2.6m, Width – 1.77m

Ward window: Height – 1.46m, Width – 1.17m

Dispensing window: Height – 1.47m, Width – 0.88m

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG maintains and reports accurate information

Maximum 4 points on this performance measure

a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0

The information on positions of health workers filled was not accurate. Apart from Katikamu HCIII, there were discrepancies between positions on staff lists from the DHO and those on the November 2020 staff lists at the other 2 sampled health facilities including Bombo HCIII and Nyimbwa HCIV.

The details are presented below:

1. At Bombo HCIII a Nursing Officer and an Askar were on the deployment list from the DHO but were not on the staff list at the health facility. 3 staff including a Senior Clinical Officer, a Laboratory Assistant and an Enrolled Midwife were on the staff list at the health facility but not on the deployment list from the DHO; and

2. At Nyimbwa HCIV, an Accountants Assistant, 2 Nursing Officers, an Office Typist and a Support staff were on the staff list at the health facility but not on the deployment list from the DHO. The deployment list from the DHO had some staff that were not on the staff list at the health facility, for instance; an Anaesthetic Officer, a Midwife, an Enrolled Nurse, a Senior Dispenser, and a Stores Assistant.

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The information on health facilities upgraded or constructed and functional was accurate. The list of constructed health facilities for FY 2019/2020 available at the DHO's office and the PBS annual report indicated the same information below:</p> <ol style="list-style-type: none"> 1. Upgrading of Katurugo HCII; 2. Completion of Luwero Hospital; 3. Construction of a 5 stance pit latrine at Kanyanda HCII; 4. Construction of a pit latrine at Kasozi HCIII; 5. Extension of Sambwe HCII; and 6. Construction of a 5 stance pit latrine at Kikoma HCIII. 	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Health facilities prepared and submitted Annual Work plans and budgets to the DHO after the deadline of March 31st of the previous FY as reflected from the 3 sampled facilities below:</p> <ol style="list-style-type: none"> 1. Katikamu HCIII submitted on 10th August 2020; 2. Lutula HCII submitted on 18th August 2020; and 3. Bowa HCIII submitted on 12th August 2020. 	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>Health facilities did not prepare Annual Budget Performance Reports for submission to the DHO. They were not available at the time of assessment.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>The health facilities had not developed and reported on implementation of facility improvement plans that incorporated performance issues identified in assessment reports. All the 3 sampled health facilities including Bamunanica HCIII, Katikamu HCIII and Kikoma did not have Performance Improvement Plans. Bamunanica HCIII and Kikoma HCIII only had annual work plans while Katikamu HCIII had only the annual budget.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> • score 2 or else score 0 	<p>The health facilities submitted 100% up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). Details of the 3 sampled health facilities are presented below:</p> <ol style="list-style-type: none"> 1. Holy Cross - Kikyusa HCIII submitted 100% of reports within 7 days following the end of each month and quarter. Hard copy reports for May and February 2020, and August 2019 were not available since the facility entered directly in DHIS2 and retained the hard copy onsite. The DHIS2 report generated indicated 100% reporting rate; 2. Nyimbwa HCIV submitted 100% of reports within 7 days following the end of each month and quarter. Hard copy reports for April 2020 and March 2020, as well as July and September 2019 were not available since the facility entered directly in DHIS2 and retained the hard copy onsite. The DHIS2 report generated indicated 100% reporting rate; and 3. Nzirabwe HCIII submitted 100% of reports within 7 days following the end of each month and quarter. Hard copy reports for August and September 2019 were not available since the facility entered directly in DHIS2 and retained the hard copy onsite. The DHIS2 report generated indicated 100% reporting rate. 	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>The health facilities did not submit 100% of the RBF invoices timely (by 15th of the month following end of the quarter) since all the 3 sampled (Nakatonya HCIII, Namalega HCIII and Bowa HCIII) submitted on 8th July 2020.</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The LG did not timely verify, compile and submit to MoH facility RBF invoices for all RBF Health Facilities. All the submission dates were after the deadline of end of 3rd week of the month following end of the quarter as presented below:</p> <ol style="list-style-type: none"> 1. Quarter 1 invoices were submitted on 17th December 2019; 2. Quarter 2 invoices were submitted 14th February 2020; 3. Quarter 3 invoices were submitted on 26th June 2020; and 4. Quarter 4 invoices were submitted on 14th August 2020. 	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>Luwero LG did not compile and submit all Quarterly (4) Budget Performance Reports timely as follows:</p> <p>First quarter was submitted on 25/11/2019; instead of October;</p> <p>Second quarter was submitted on 28/01/2020; this was timely</p> <p>Third quarter was submitted on 24/04/2020; this was timely and</p> <p>Fourth quarter was submitted on 24/08/2020, instead of July.</p>	0
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG had not developed a Performance Improvement Plan (PIP) for the lowest performing health facilities. A wrong PIP for FY 2018/2019 was used.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The DHMT had not implemented the Performance Improvement Plan for weakest performing facilities. There was no Performance Improvement Plan developed by the LG that would be implemented.</p>	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The LG budgeted for health workers without following guidelines / staffing norms. For instance, LG budgeted for 5 extra staff beyond the norm including; 1 Senior Medical Clinical Officer (Speech Therapy) - U4SC, 1 Senior Medical Clinical Officer (Speech Therapy) - U5SC and 3 Health Inspectors - U5SC.</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG had deployed 58% (424 out of 729) as per guidelines in accordance with staffing norms. 7 staff had been deployed beyond the staffing norm and not considered while computing the percentage.</p>	0
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>Some of the health workers at Nyimwa HCIV and Bombo HCIII were not working where they were deployed. Among the 3 sampled health facilities, on Katikamu HCIII had staff working that rhymed with the deployment list from the DHO. Details of variations at Nymbwa HCIV and Bombo HCIII are as follows:</p> <ol style="list-style-type: none"> 1. At Bombo HCIII, 3 staff including a Senior Clinical Officer, a Laboratory Assistant and an Enrolled Midwife were not on the deployment list. A Nursing Officer and an Askar were on the deployment list but not working at the health facility; and 2. At Nyimbwa HCIV, an Accountants Assistant, 2 Nursing Officers, an Office Typist and a Support staff were not on the deployment list. Some of the deployed staff were not working at the health facility. For instance, an Anaesthetic Officer, a Midwife, an Enrolled Nurse, a Senior Dispenser, and a Stores Assistant. 	0
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The LG had publicized health workers deployment and disseminated by, among others, posting on facility notice boards as showed below:</p> <ol style="list-style-type: none"> 1. At Katikamu HCIII, a list with 20 staff dated 6th July 2020 was pinned on the notice board; 2. At Bombo HCIII, a list with 16 staff dated 12th October 2020 was pinned on the notice board; and 3. At Nyimbwa HCIV, a list with 48 staff was provided but pinned on the outside notice board. 	2

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>There was no evidence of appraisal of the health facility in charges during the previous FY.</p> <p>None of the files reviewed had appraisal forms for FY 2019/2020. These included files for; Kitaka Joel (Clinical Officer), Kawuma Isa Senior Clinical Officer, Namubiru Saudah Medical Officer, Kizza Denis senior Clinical Officer, Kyobijja Anastansia Clinical Officer, Ibrahim Ramathan Senior Clinical Officer and sembega Stephen Clinical Officer among others.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>No evidence was available to show that the DHO ensured that Health Facility In-charges conducted performance appraisal of all health facility workers during the previous FY..</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence of corrective actions taken basing on the appraisal reports.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The LG did not have a training plan to follow while conducting training of health workers Continuous Professional Development (CPD). CPD for health workers was conducted at health facility level without a training plan at the district. Records for Continuous Professional Development were also kept at the health facilities not at the district.</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was no training/CPD database to capture documentation of training activities at the time of the assessment.</p>	0
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Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The letter from the CAO notifying the MOH in writing of the list of facilities accessing the PHC NWR Grants (GoU and PNFP that received PHC NWR grants) for the current FY was not required since there was no health facility that had been listed incorrectly or missed in the previous on the list.</p>	2
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9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>The LG make allocations towards monitoring service delivery and management of District Health Services of UGX 95,651,000 of the non-wage of UGX 972,885,000 as per the annual budget performance report of the FY 2019/2020. This was 9.8% less than 15% of the PHC NWR Grant.</p>	0
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9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG did not timely warrant the PHC Non-Wage Grants to Facilities as follows:</p> <ol style="list-style-type: none"> 1. Quarter 1 warranted on 01/08/2019 and released on 26/08/2019; 2. Quarter 2 warranted was on 21/10/2019 Released on 05/11/2019; 3. Quarter 3 warranted on 17/01/2020 Released on 05/02/2020; and 4. Warranted was 16/ 04/2020 Released on 04/05/2020. 	0
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9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter, score 2 or else score 0</p>	<p>The CAO did not communicate the releases to health facilities within 5 working days as follows:</p> <p>1st quarter 2/08/2019 invoice no 25090394 26/08/2019 ;</p> <p>2nd quarter 11/10/2019 invoice no 26259001 5/11/2019 ;</p> <p>3rd quarter 13/01/2020 invoice no 27831880 5/02/2020 ; and</p> <p>4th quarter 14/04/2020 invoice 29301864 4/05/2020 .</p>	0
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG did not publicise all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED apart from quarter 4 when the letter was sent before the release date as presented below:</p> <ol style="list-style-type: none"> 1. Quarter 1 letter dated 13th August 2019 was sent 22 working days after 9th July 2019 when the expenditure limits from MoFPED were received; 2. Quarter 2 letter dated 16th October 2019 was sent 9 working days after 2nd October 2019 when the expenditure limits from MoFPED were received; 3. Quarter 3 letter dated 17th January 2020 was sent 6 days after 8th January 2020 when the expenditure limits from MoFPED were received; and 4. Quarter 4 letter dated 9th April 2020 was sent before 28th April 2020 when the expenditure limits from MoFPED were received. 	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The LG health department implemented actions recommended by the DHMT Quarterly performance review meetings held during the previous FY. For instance, in the DHMT meeting held on 7th January 2020 under minute 5/2020, it was recommended that a study on food handlers in the district. The study was conducted as reflected in the report titled "Food Handling in Lwero" dated 30th January 2020.</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The LG quarterly performance review meetings did not involve some of the health facility In - Charges in quarterly DHMT performance review meetings. For instance, the In-charges of the following health facilities did not attend quarterly DHMT performance review meetings; Bubuubi HCII, Kikube HCII, Kireku HCII, Kikyusa Ninda HCII, Kyevunza HCII, Mazzi HCII, and Mulajje HCII. The In-Charges of the aforementioned health facilities did not attend any quarterly review meeting as reflected from minutes dated; 14th August 2019, 13th November 2019, 13th and 14th December 2019, 12th February 2020, 19th May 2020, 22nd June 2020, and 30th July 2020.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The LG did not supervise 100% of HC IVs and General hospitals (Kalagala HCIV, Luwero HCIV, Nyimbwa HCIV, Bishop Asil Hospital and Bombo Military General Hospital) at least once every quarter in the previous FY as reflected from the DHT supervision reports as follows:</p> <p>Quarter 1 reports dated 8th October 2019, and 9th and 30th November 2019, Bishop Asil Hospital and Bombo Military General Hospital were not supervised;</p> <p>Quarter 2 reports dated 2nd , 16th and 13th December 2019, Bishop Asil Hospital and Bombo Military General Hospitals were not supervised;</p> <p>Quarter 3 reports dated 18th January 2020 and 16th March 2020, Kalagala HCIV, Nyimbwa HCIV and Bishop Asil Hospital were not supervised; and</p> <p>Quarter 4 reports dated 13th May 2020 and 6th June 2020, Kalagala HCIV, Nyimbwa HCIV, Bombo Military General Hospital were not supervised.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>The District Health Team (DHT) ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY. The HSD supervised as follows:</p> <ol style="list-style-type: none"> 1. Katikamu HCIII was supervised on 20th September 2019 and 23rd March 2020; 2. Bombo HCIII was supervised on 12th November 2019, 18th March 2020 and 26th June 2020; and 3. Nyimba HCIV was not supposed to be supervised by the HSD. 	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG did not use the results / reports from discussion of the support supervision and monitoring visits to make recommendations for specific corrective actions. However, there was no documentary evidence that implementation of the recommendations was followed up.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The LG did not provide support to all health facilities in the management of medicines and health supplies in FY 2019/2020. At the time of the assessment, there was no record of supervision of Ssamwe HCIII, Nsanvu HCII, Bamugolodde HCII, Bubuubi HCII, Bukolwa HCII, Bwaziba HCII, Kabanyi HCII, Kanyanda HCIII, Kikube HCII, Kireku HCII and Lutula HCII.</p>	0
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>Budget for health promotion in LG approved Budget estimate.</p> <p>Total budget for Health Office Budget: UGX 138,373,000</p> <p>NGO basic health care services UGX 179,951,000</p> <p>Basic Health Care services at Health centre IV to Health Centre III UGX 302,750</p> <p>The LG spent 130% on health promotion and budget prevention activities.</p>	2
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY. This was reflected in various reports, for instance:</p> <ol style="list-style-type: none"> 1. Stakeholders and VHTs orientation on facts on COVID 19, HIV prevention and Gender Based Violence and community risk communication report dated 5th June 2020; and 2. Head Teachers sensitization meeting report dated 19th September 2019. The health department's presentation in the meeting focused on immunization and school health. 	1

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	There were no follow-up actions taken by the DHT on health promotion and disease prevention issues since related documentary evidence was missing at the time of the assessment.	0
	Maximum 4 points on this performance measure			

Investment Management

12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0	The LG had did not have an updated asset register, last updated on 1st June 2020. The register did not set out the health facilities and equipment relative to basic standards as per the format annexed in the health facility budget and grant guidelines 2020/2021 titled "Health Facility Asset Register and Requirements Checklist".	0
	Maximum 4 points on this performance measure			

12	Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.	b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the LG Development Plan; (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)): score 1 or else score 0	<p>There was evidence that the prioritised investments in the health sector for the previous FY were: derived from the LG Development Plan; desk appraisal by the LG; and eligible for expenditure under sector guidelines and funding source as follows:</p> <p>Construction of 100 bed ward at Luwero Hospital phase 7 on page 246 of the LGDP and on page 65 of the AWP the budget was UGX 500,000,000;</p> <p>Renovation of Bukalasa Health Centre III desk review was on 03/03/2020, field appraisal was on 17/01/2020 on page 246 of the LGDP with budget of UGX 45,000,000 ;</p> <p>Construction of pit latrine at Kalangala and Zorobwe at UGX 29,984,744 ; and</p> <p>Construction of 5 stance pit latrine at Kanyanda Health Centre II at UGX 14,859,740 .</p>	1
	Maximum 4 points on this performance measure			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was evidence that LG conducted field Appraisal for; technical feasibility; Environment and social acceptability and Customized designs over the previous FY as follows:</p> <p>Construction of 100 bed ward at Luwero Hospital phase 7 desk appraisal was on 03/03/2020, field appraisal was on 17/02/2020 , there was customized design cost included the BOQ ;</p> <p>Renovation of Bukalasa Health Center III desk review was on 03/03/2020, field appraisal was on 17/01/2020; there was customized design cost included the BOQ ; and</p> <p>Construction of pit latrine at Kalangala and Zorobwe Construction of 5 stance pit latrine at Kanyanda Health Center II , there was customized design cost included the BOQ .</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was NO Evidence that all health infrastructure projects for the previous FY (2019/2020 FY) complied with risk mitigation plans as site visit reports and monthly compliance monitoring reports were NOT available.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was no evidence that the LG health department submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans by 30th April.</p> <p>The user department procurement plan was not availed.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY</p> <p>The procurement request was made on 19-Nov-2020</p>	0
	<p>Maximum 10 points on this performance measure</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>Health infrastructure investments were approved by Contracts committee.</p> <p>They were approved under Min 009/LDLGCC/19-20</p> <ol style="list-style-type: none"> 1. Construction of 5 Stance Lined Pit latrine at Damascus P/S , Kyetume C/U P/S, and Lukomera C/U P/S 2. Construction of 5 Stance Pit Latrine at Nyimbwa C/U P/S, Nandere Girls P/S and Kikunyo Kabugo P/S 	1
	<p>Maximum 10 points on this performance measure</p>			
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p>	<p>There was no evidence that the Project Implementation Team was fully established.</p> <p>The CAO only appointed the CM and PM for the projects. Other technical personnel (DHO, DEO etc) are not recorded as having been part of the implementation team.</p>	0
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>	<p>Sampled projects include:</p> <ol style="list-style-type: none"> 1. Letter dated 18-Oct- 2019 shows appointment of Bbosa Florence as Contract Manager for the construction of five stance VIP brick lined latrines in Damascus, Kyetume and Lukomera. 2. Letter dated 18-Oct-2019 shows appointment of Kagimu Dennis as Project Manager for the construction of five stance VIP brick lined latrines in Damascus, Kyetume and Lukomera. 	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the LG Health infrastructure visited conform to the approved designs.</p> <p>Since there was no upgrade from HC II to HC III, the assessment team were availed with data for upgrade from HC IV for Hospital hence the measurements were done for Luwero Hospital.</p> <p>Standard Drawings – Luwero Hospital</p> <p>Entrance door: Height – 2.4m, Width – 1.8m</p> <p>Ward window: Height – 1.5m, Width – 1.2m</p> <p>Dispensing window: Height – 1.5m, Width – 0.9m</p> <p>Measured Dimensions – Luwero Hospital</p> <p>Entrance door: Height – 2.6m, Width – 1.77m</p> <p>Ward window: Height – 1.46m, Width – 1.17m</p> <p>Dispensing window: Height – 1.47m, Width – 0.88m</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence of whether the weekly reports are consolidated from the daily site reports.</p> <p>In fact, no weekly reports were availed for review.</p> <p>There was also no evidence of a Clerk of Works on the team</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility, the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that project Site meetings were held on a monthly basis as per guidelines; in addition, there was no evidence of attendance of other key stakeholders.</p> <p>Minutes of site meetings were not availed</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDO.</p> <p>Only Senior District Engineer and Supervisor of Works were recorded to have carried out inspections.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The LG did not verify works and initiated payments of contractors within 10 working days as evidenced below:</p> <p>1. Construction of Pit latrine at Kanyanda Health Centre II</p> <p>Contract No: LUWE 532/WRKS/18-19/00097</p> <p>Contract Sum: UGX 14,859,740</p> <p>Payment initiation 10/06/2020</p> <p>DHO signed on 10/06/2020</p> <p>District Engineer signed on 10/06/2020</p> <p>CAO signed 16/06/2020</p> <p>Internal Audit signed 17/06/2020</p> <p>CFO signed on 17/06/2020</p> <p>Payment date 29/06/2020</p> <p>UGX 13,398,952</p> <p>Final certificate 10/06/2020 ; payment was made after more than 10 working days;</p> <p>2. Construction of the upgraded Katungo Health Center II to III</p> <p>Contract No MoH-UGIFT/WRKS/2018-19/00016</p> <p>Contract Sum: UGX 487,454,960</p> <p>Payment initiation was on 7/09/2019</p> <p>DHO signed on 7/09/2019</p> <p>District Engineer 7/09/2019</p> <p>Internal Audit 8/09/2019</p> <p>CAO signed on 26/09/2019</p> <p>CFO signed on 11/11/2019</p> <p>Payment date 22/01/2020</p>	0

Amount paid UGX199,555,064

Interim certificate 07/09/2019 ; payment was made after 3 months and

3. Construction of 100 beds Kasana Health Centre 4

Contract No Luwe 532/19-20/00180

Contract Sum: UGX 685,346,507

Payment initiation 4/05/2020

DHO signed on 7/05/2020

District Engineer signed on 7/05/2020

Internal Auditor 14/05/2020

CFO signed on 15/05/2020

CAO signed on 14/05/2020

Payment 29/05/2020

Amount UGX 99,893,640

Certificate date 7/05/2020 payment was made after 20 days.

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There was no evidence that the procurement files for health infrastructure projects for the current FY were not complete

Records availed show that some of the health infrastructure project had not yet been initiated by the time of this assessment.

0

Environment and Social Safeguards

14

Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework

Maximum 2 points on this performance measure

a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0

There was NO Evidence that Luwero DLG Health grievances had been recorded, investigated, and responded to in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating and responding to grievances; (iv) define a complaints referral path; (v) publicly display grievance redress information at LG offices; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.

0

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was NO Evidence that Luwero DLG Health Department had disseminated guidelines on health care/medical waste management to health facilities in the LG that included guidelines on construction of medical waste facilities and had followed up implementation of the health care waste management guidelines by health centers.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was NO Evidence that Luwero DLG had a functional system/central infrastructures with equipment for medical waste management and had a dedicated/operational budget for health care waste management.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was NO Evidence that Luwero DLG had conducted training and created awareness in health care waste management as training records on health care waste management were NOT available.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>There was NO Evidence that Luwero DLG had Costed ESMPs and Environment Social Health and Safety safeguards incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY (2019/2020 FY) as Costed ESMPs and Environment Social Health and Safety safeguards were absent in health sector projects designs, BoQs, and bidding and contractual documents that were presented by the LG and reviewed by the Assessor. Additionally, Costed ESMPs and Environment Social Health and Safety safeguards for health sector projects were also NOT available.</p>	0

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	There was NO Evidence that Luwero DLG had all health sector projects implemented on land where the LG had proof of ownership, access and availability, without any encumbrances on land acquisition status as no land titles, agreements, Memoranda of Understanding or consent letters from landowners for planned health infrastructure projects were provided by the LG.	0
	Maximum 8 points on this performance measure			

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	There was NO Evidence that Luwero DLG Environmental Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and monthly monitoring reports were NOT available.	0
	Maximum 8 points on this performance measure			

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There WAS Evidence that Luwero DLG Environmental and Social Certification Forms were completed and signed by the LG Environment Officer and CDO prior to settlement of contractor payment certificates at interim and final stages of all health infrastructure projects as exemplified by the following projects:

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Renovation of Registry, Doctors' Block and Theatre at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Partial Construction of Chain Link Fence at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Construction of Guard's House at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

- Completed Certificate of Compliance with Environmental Obligations (CCEO) for Roofing of Incinerator and Repair of Chain Link Fence at Luwero Hospital, Dated 26/10/2020, Signed and Stamped by District Environmental Officer, Luwero DLG.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the Management Information System (MIS) of the Ministry of Water and Environment, the functionality of the rural water sources in Luwero District as of November 11th, 2020 was 86%.</p>	1
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the Management Information System (MIS) of the Ministry of Water and Environment, the facilities in Luwero with functional water and sanitation committees as of November 10th, 2020 was 89%</p>	1
2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY.</p> <p>If LG average scores is</p> <ul style="list-style-type: none"> a. Above 80% score 2 b. 60 -80%: 1 c. Below 60: 0 <p>(Only applicable when LLG assessment starts)</p>	<p>According to the District Planner - Mr. Luzze Charles (Tel: 0779651561), the Lower Local Government Assessment has not yet started for Luwero District Local Government.</p>	0

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

b. % of budgeted water
projects implemented in
the sub-counties with
safe water coverage
below the district
average in the previous
FY.

- o If 100 % of water
projects are
implemented in the
targeted S/Cs: Score 2
- o If 80-99%: Score 1
- o If below 80 %: Score 0

Luwero District has 13 Lower Local Government (10 rural sub counties and 3 town councils) namely: Bamunanika Sub County (with a coverage of 80%), Kalagala Sub County (with a coverage of 74), Ziobwe Sub County (with a coverage of 63%), Kikyusa Sub County (with a coverage of 53%), Kamira Sub County (with a coverage of 44%), Butuntumula Sub County (with a coverage of 78%), Katikamu Sub County (with a coverage of 95%), Luwero Sub County (with a coverage of 95%), Nyimbwa (with a coverage of 62 %), Makulubita (with a coverage of 48%), Luwero Town Council (with a coverage of 70%), Wobulenzi Town Council (with a coverage of 62%), Bombo Town Council (with a coverage of 56%). The average coverage for Luwero district is 70% which makes Ziobwe Sub County (with a coverage of 63%), Kikyusa Sub County (with a coverage of 53%), Kamira Sub County (with a coverage of 44%), Nyimbwa Sub County (with a coverage of 62%), and Makulubita Sub County (with a coverage of 48 %), the sub counties with having coverage below the district average coverage (70%). According to the District Annual (Quarter 4) Report 2019/2020 submitted to the Ministry (Ref CR/115/2) on July 15th, 2020 and received/ acknowledged on August 06th, 2020, 66 projects were implement during the previous year (2019/2020). The implemented projects included:

- Drilling of boreholes (# 05);
- Rehabilitation of boreholes (#56);
- Construction of Bio Digester Toilet (#01); and
- Construction (by Extension) of Piped Water Supply by 45km (#04 - (i) extension from Luwero TC to Butuntumula Sub County by 15km, (ii) extension from Simuto Line (via Mawali hill reservoir) to Makulubita by 5 Km, (iii) extension from Bombo to Kalagala by 5km, and (iv) extension from Ziobwe TC to Ziobwe by 20km)

Of these projects, only 33 projects (drilling of 01 borehole, rehabilitation of 30 boreholes, and extension of water 2 water supply system) were planned in the sub counties with water coverage below the district average. Thus only 33(50%) of the 66 planned projects were implemented in the sub counties with water coverage below district average coverage.

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

c. If variations in the
contract price of
sampled WSS
infrastructure
investments for the
previous FY are within
+/- 20% of engineer's
estimates

o If within +/-20% score
2

o If not score 0

According to the Annual Work plan 2019/2020 which was submitted to the Ministry of Water and Environment on July 8th, 2019 and received (and approved) on July 12th, 2019, six infrastructure contracts were planned namely:

- Drilling (inclusive of siting and drilling supervision) of 05 boreholes at a cost of UGX 110,000,000/=;
- Construction of 01 Bio Digester (Water Borne) Toilet at a cost of UGX 18,000,000/=;
- Rehabilitation of 40 boreholes in different locations at a cost of UGX 63,423,264;
- Construction (Extension from existing systems) by 45 Km to different Location at a cost of UGX 203,863,968/=

According to the Annual Performance Report (Quarter Four Report) which was submitted to the Ministry of Water and Environment Headquarter on July 13th, 2020 and was received (acknowledged) on July 17th, 2020 (the same was reviewed during the assessment); all Water Supply and Sanitation infrastructure projects were executed through 06 contracts as outlined below:

- Drilling of 05 boreholes (inclusive of siting and drilling supervision) was implemented at a cost of UGX 106,034,800/- Procurement Ref No.: Luwe 532/Wrks/19-20/00076, signed with Galaxy Agro-Tech (U) Ltd on October 18th, 2019
- Construction of 1 Bio Digester (Water Borne) Toilet was done at a cost of UGX 19,062,310 – Procurement Ref: Luwe 532/Wrks/19-20/00119 signed by Dala Ware (U) Ltd on February 19th, 2020;
- Construction (Extension from existing systems) by 45 Km to different Location at a cost of UGX 203,863,968/= undertaken by NWSC via MoU; and
- Rehabilitation of 56 boreholes: (i) Major rehabilitation of 07 boreholes at a cost of UGX 29,452,800/ - Procurement Ref: Luwe 532/wrks/19-20/00144 signed with Dala Ware (U) Limited on April 16th, 2020; and (ii) Minor Repair Rehabilitation by Force Account with support of Local Hand Pump Mechanics Association (Luwero District Pump Mechanics at a cost of UGX 35,300,000/= for procurement of spare parts supplied by Relief line (U) Ltd and Technical Support from Luwero District Hand Pump Mechanics Association at a Cost of UGX 3,600,000 via MoU;

For assessment, I have taken the two contracts that can be compared directly namely:

- the contract for Drilling of the boreholes was done at a deviation of 4% below the engineer estimate; and
- the construction of the Bio Digester (water borne) toilet was done at a deviation of + 6% above the engineers estimate.

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

d. % of WSS
infrastructure projects
completed as per
annual work plan by
end of FY.

o If 100% projects
completed: score 2

o If 80-99% projects
completed: score 1

o If projects completed
are below 80%: 0

The Annual Work plan 2019/2020 which was submitted to the Ministry of Water and Environment on July 15th, 2019 (Un Referenced) and received (and approved) on July 30th, 2019, was reviewed during the assessment. According to the same work plan, 66 infrastructure projects were planned namely:

- Drilling of boreholes (# 05);
- Rehabilitation of boreholes (#56);
- Construction of Bio Digester Toilet (#01); and
- Construction (by Extension) of Piped Water Supply by 45km (#04 - (i) extension from Luwero TC to Butuntumula Sub County by 15km, (ii) extension from Simuto Line (via Mawali hill reservior) to Makulubita by 5 Km, (iii) extension from Bombo to Kalagala by 5km, and (iv) extension from Zirobwe TC to Zirobwe by 20km)

At the end of the FY 2019-2020, an Annual Performance Report (Quarter Four Report) was submitted to the Ministry of Water and Environment Headquarter on July 15th, 2020 (Ref: CR/115/2) and was received (acknowledged) on August 06th, 2020. A copy of this reports was reviewed during the assessment.

All the above mentioned correspondences were signed for Chief Administrative Officer by, Ms. Ndagire Jessica Nsohya with copies to the District Chairperson, Luwero District Local Government; the Resident District Commissioner, Luwero District; the Secretary for Works and Technical Services – Luwero; and TSU-5 - Wakiso.

I attest that of the 66 planned Water Supply and Sanitation infrastructure projects, 61(92%) were executed and completed within the Fiscal Year (2019/2020) as planned. The un completed projects were those related to the Extension of Piped Water Supply by 45km which were four in number as outlined below:

- (i) extension from Luwero TC to Butuntumula Sub County by 15km,
- (ii) extension from Simuto Line (via Mawali hill reservior) to Makulubita by 5 Km,
- (iii) extension from Bombo to Kalagala by 5km, and
- (iv) extension from Zirobwe TC to Zirobwe by 20km)

This delay was reported to have been occasioned by shortage of supply of pipes due to COVID -19 pandemic which affected the supply chain.

3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>According to the Management Information System (MIS) of the Ministry of Water and Environment, the functionality of the water supply facilities in Luwero District for the year 2018-2019 was 86%. The functionality of water supply facilities remained the same (86%) even for the year 2019-2020 which represents no increase (0%) in functionality</p>	0
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 5%: score 2</p> <p>o If increase is between 0-5%: score 1</p> <p>o If there is no increase: score 0.</p>	<p>According to the Management Information System (MIS) of the Ministry of Water and Environment, the facilities with functional Water Supply Committees in the year 2018-2019 was 89% while that for the year 2019-2020 was also 89% which represents no (0%) increase in facilities with functional water sources committees.</p>	0

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported:
Score: 3

According to the Management Information System (MIS) of the Ministry of Water and Environment, the facilities with functional Water Supply Committees in the year 2018-2019 was 89% while that for the year 2019-2020 was also 89% which represents no (0%) increase in facilities with functional water sources committees.

Annual Performance Report (Quarter Four Report) was reviewed. It was submitted to the Ministry Headquarter on July 15th, 2020 where it was received (acknowledged) on August 06th, 2020. Among other activities, five boreholes were drilled, all of which were productive. Three of these boreholes were visited for verification and the results are as outlined below:

- Borehole 56746 is located in Bukusu village, Makulubita Sub County at GPS coordinates 36N0434775, UTM0066222, Altitude 1159. According to the information on the platform, the borehole was completed on December 16th, 2019. At the time of verification, the borehole was functional as reflected in Quarter 4 (Annual) report mentioned above. I physically met and talked to Mr. Ssentumbwe Fred (Chairperson Water Users Committee – Tel 0702346936) who expressed satisfaction with the state of the borehole;

- Borehole 56744 is located in Kirembwe village, Bugadde Parish, Katikamu Sub County at GPS coordinates 36N0448534, UTM0086589, Altitude 1122m. According to the information on the platform, the borehole was completed on December 16th, 2019. At the time of verification, the borehole was functional as reflected in Quarter 4 (Annual) report mentioned above. I physically met and talked to Mr. Ssekamate Geoffrey – Publicity Secretary Water User Committee (Tel - 0781599605) who expressed satisfaction with the state of the borehole; and

- Borehole 56745 is located in Ndagga Village, Luwero Sub County at GPS coordinates 36N0455366, UTM0092882, Altitude 1116m. According to the information on the platform, the borehole was completed on December 17th, 2019. At the time of verification, the borehole was functioning well as reflected in Quarter 4 (Annual) report mentioned above. I talked to Mr. Lubwama Charles, Chairperson Water Users Committee – Tel 0781512560) who expressed satisfaction with the performance of the borehole.

At the time of the verification all the visited boreholes had been fenced. All the people talked to also attested to the fact that training had been conducted for the members of the respective water users committees. To further enhance sustainability, all the water sources need more post construction support to their respective water user committee. This is because the boreholes were not adequately cleaned during the assessment which indicated that their respective water user committees needed further strengthening.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

Three Quarterly Reports were provided by Local government District Water Office(r) as follows:

- Quarter 2 Report was submitted to the Ministry on February 12th, 2020 - it was received and acknowledged on August 06th, 2020;

- Quarter 3 Report was submitted to the Ministry on May 15th, 2020 (Ref: CR/752/1) - it was received and acknowledged on August 06th, 2020; and

- Quarter 4 (Annual) Report was submitted to the Ministry on July 15th, 2020 (Ref: CR/115/2) - it was received and acknowledged on August 06th, 2020.

These three reports were checked to ascertain whether the Local Government Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement. There was no evidence that the information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement (and related services) was collected and submitted in each of the respective quarterly reports mentioned above. All the above mentioned correspondences were signed by Ms. Ndagire Jessica Nsohya (For Chief Administrative Officer) and copied to the District Chairperson, Luwero District Local Government; the Resident District Commissioner, Luwero District; the Secretary for Works and Technical Services, Luwero; and TSU-5-Wakiso

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes:
Score 3 or else 0

There was no evidence provided to the effect that the District Water Office collected MIS data on quarterly basis. There was also no evidence that the same data was shared with the Ministry – there was no appropriate Annexes to the respective Quarterly reports which were submitted to the Ministry.

Three Quarterly Reports were provided by Local government District Water Office(r) as follows:

- Quarter 2 Report was submitted to the Ministry on February 12th, 2020 - it was received and acknowledged on August 06th, 2020;

- Quarter 3 Report was submitted to the Ministry on May 15th, 2020 (Ref: CR/752/1) - it was received and acknowledged on August 06th, 2020; and

- Quarter 4 (Annual) Report was submitted to the Ministry on July 15th, 2020 (Ref: CR/115/2) - it was received and acknowledged on August 06th, 2020.

These three reports were checked to ascertain whether the Local Government Water Office updated the MIS (WSS Data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and used compiled information for planning purposes. All the above mentioned correspondences were signed by Ms. Ndagire Jessica Nsohya (For Chief Administrative Officer) and copied to the District Chairperson, Luwero District Local Government; the Resident District Commissioner, Luwero District; the Secretary for Works and Technical Services, Luwero; and TSU-5-Wakiso

Besides, the above mentioned quarterly reports, a report dated March 25th, 2020 (ref ENG/123/1) was presented and reviewed - it focused only on the functionality of the water sources with no data on the population served.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans:
Score 2 or else 0

Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.

There was no Lower Local Government (LLG) assessments done in the district hence there is no LLG Assessment Reports availed. Equally, there was no copies of Performance Improvement Plan (PIP) that were received and/or reviewed.

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that the DWO had budgeted for the following Water & Sanitation staff:</p> <p>Civil Engineer (Water); 1 Assistant Water Officer, 1 Engineering Assistant (Water) and 1 Borehole Maintenance Technician. Evidence was from</p> <p>The “ Approved recommended customized staff establishment for Luwero DLG for FY 2019/2020 Staffing levels as at 1st July 2020” VOTE 532 which showed that all the above positions were filled.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was no evidence that the Environment and Natural Resources Officer had budgeted for an Environment Officer and a Forestry Officer. These posts were vacant.</p> <p>The position of a Natural resource officer was filled (budgeted for).</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was no evidence that the DWO appraised District Water Office staff against the agreed performance plans during the previous FY.</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The District Water Office has only one substantive staff with 4 seconded staff handling mostly software staff. The activity was not undertaken. There was no capacity needs of the staff that was identified. Equally, there were no training activities neither was there any training plans at the district level documented in the training database.</p>	0

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

Luwero District has 13 Lower Local Government (10 rural sub counties and 3 town councils) namely: Bamunanika Sub County (with a coverage of 80%), Kalagala Sub County (with a coverage of 74), Ziobwe Sub County (with a coverage of 63%), Kikyusa Sub County (with a coverage of 53%), Kamira Sub County (with a coverage of 44%), Butuntumula Sub County (with a coverage of 78%), Katikamu Sub County (with a coverage of 95%), Luwero Sub County (with a coverage of 95%), Nyimbwa (with a coverage of 62 %), Makulubita (with a coverage of 48%), Luwero Town Council (with a coverage of 70%), Wobulenzi Town Council (with a coverage of 62%), Bombo Town Council (with a coverage of 56%). The average coverage for Luwero district is 70% which makes Ziobwe Sub County (with a coverage of 63%), Kikyusa Sub County (with a coverage of 53%), Kamira Sub County (with a coverage of 44%), Nyimbwa Sub County (with a coverage of 62%), and Makulubita Sub County (with a coverage of 48 %), the sub counties with having coverage below the district average coverage (70%).

According to the District Annual Workplan (2020-2021) which was submitted to the Ministry of Water and Environment (Ref: CR/115/2,) on July16th, 2020 and was received (and approved) on August 6th, 2020. The planned activities include:

- Drilling (and Siting) of 16 boreholes budgeted at UGX 352,000,000;

- Rehabilitation of 40 boreholes budgeted at UGX 92,307,227;

- Construction (by extension) 45Km of piped water supply scheme(s) - budgeted at UGX 203,000,000/= divided into 4 projects (8 Km in Kikyusa, 8 Km in Makulubita, 5 Km in Kalagala and 24 km in Butuntumula) ; and

- Construction of sanitation facility at a rural growth center budgeted at UGX 21,090,000.

As of now, beneficiaries for 20 projects costing UGX 555,000,000/= (Drilling of 16 Boreholes at a cost of UGX 352,000,000/ and extension of piped water schemes by 45 Km – 4 projects- at a cost of UGX 203,000,000/=) have already been allocated to different sub counties. Of the 20 projects budgeted at UGX 555,000,000, only 11 projects (drilling of 09 boreholes projects at UGX 198,000,000 and Laying of 16km pipes at UGX 72,177,779) were planned in the sub counties with water coverage below the district average. Thus only UGX 270,177,778 (49%) of the budgeted UGX 555,000,000, for the planned projects were allocated to the sub counties with water coverage below district average coverage.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>District Water Office(r) publicized the projects implemented in the different Lower Local Governments, 2019-2020. The Notice was dated June 1st, 2020. The same notice also publicized the projects to be implemented in the year 2020/2021. The notice did however not include the respective allocations per implemented project. The District has a district Website but the allocations to the Lower Local Government have not been uploaded there.</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored quarterly: score 4 • If 80-99% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>There was no evidence provided to the effect that the District Water Office monitored each Water Supply and Sanitation facilities at least Quarterly to monitor functionality of their functionality.</p> <p>One report on the monitoring of facilities in the district was seen and reviewed during the assessment. The report was dated March 25th, 2020 (ref ENG/123/1) was presented – it focused on the functionality of the water sources for only one quarter.</p>	0
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The District Water Office(r) conducted a quarterly District Water and Sanitation Committee Coordination meeting on October 10th, 2019. The meeting was attended by 38 participants -15 female and 23 male. The minutes of this meeting were seen – it was chaired by Mr. Musisi Henry (Principal Assistant Secretary) and the secretary was Mr. Kasule Henry (Assistant Water Officer – Software). Reference to the same was made to Fourth Quarterly (Annual) Report prepared July 15th, 2020 and submitted on August 06th, 2020. Sub sequent DWSCC meetings were not held because of COVID 19 pandemic.</p>	2

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>District Water Office(r) publicized the projects implemented in the different Lower Local Governments, 2019-2020. The Notice was dated June 1st, 2020. The same notice also publicized the projects to be implemented in the year 2020/2021. The notice did however not include the respective allocations per implemented project. The District has a district Website but the allocations to the Lower Local Government have not been uploaded there.</p>	0
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The budget was contained in the Annual Work plan 2019-2020 which was submitted on July 15th, 2019. It was received and approved by the Ministry on July 30th, 2019. In the budget of UGX 35,597,752/= was budgeted for NWR, of this UGX 20,797,753/= (58%) was allocated activities related to mobilization. This contained on page 1 of 3 and page 2 of 3 of the budget annexed to the work plan. The activities related to mobilization in the same budget lines 1.1-1.4 and budget lines 6.1 – 6.19.</p>	3

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The annual software report for the year 2019/2020 was not available for reviewed. None was attached on any of the three quarterly reports that were presented for assessment.

However, upon field visit for verification to boreholes that had been drilled, the results are as outlined below:

- Borehole 56746 is located in Bukusu village, Makulubita Sub County at GPS coordinates 36N0434775, UTM0066222, Altitude 1159. According to the information on the platform, the borehole was completed on December 16th, 2019. At the time of verification, the borehole was functional as reflected in Quarter 4 (Annual) report mentioned above. I physically met and talked to Mr. Ssentumbwe Fred (Chairperson Water Users Committee – Tel 0702346936) who expressed satisfaction with the state of the borehole;

- Borehole 56744 is located in Kirembwe village, Bugadde Parish, Katikamu Sub County at GPS coordinates 36N0448534, UTM0086589, Altitude 1122m. According to the information on the platform, the borehole was completed on December 16th, 2019. At the time of verification, the borehole was functional as reflected in Quarter 4 (Annual) report mentioned above. I physically met and talked to Mr. Ssekamate Geoffrey – Publicity Secretary Water User Committee (Tel - 0781599605) who expressed satisfaction with the state of the borehole; and

- Borehole 56745 is located in Ndagga Village, Luwero Sub County at GPS coordinates 36N0455366, UTM0092882, Altitude 1116m. According to the information on the platform, the borehole was completed on December 17th, 2019. At the time of verification, the borehole was functioning well as reflected in Quarter 4 (Annual) report mentioned above. I talked to Mr. Lubwama Charles, Chairperson Water Users Committee – Tel 0781512560) who expressed satisfaction with the performance of the borehole.

At the time of the verification all the visited boreholes had been fenced. All the people talked to also attested to the fact that training had been conducted for the members of the respective water users committees.

This means that the training actually took place as evidenced from the committee members that I had met even though the respective report is yet to be prepared.

Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>List of water supply facilities in the district were contained in Form 1 for the new water facilities and Form 4 for the old facilities including their locations in the lower local government - copies of which were seen during the assessment. The other DWO assets including equipment and tools were contained in the asset register of the entire Department of Works - copy of the same was seen during the assessment. The items included HP laptop computers, GPS Receiver - Garmin, Filing Cabinet, Executive Table, Wooden Office Chairs -#07, Office Table, Executive Table and Chair (#1), Fan, printers, vehicles - Toyota Hilux Double Cabin (LG 0025 068), Motor Cycle - Honda XL 125 (UG 2475R) and their current users. The registry was last updated on November 2nd, 2020.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>There was evidence that the LG DWO conducted a desk appraisal for all WSS projects and prioritised investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritise investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source as follows:</p> <p>Drilling of 16 dip boreholes district wide desk review was done on 03/03/2020 and field appraisals was on 27/12/2019 page 268 Of the LGDP; and</p> <p>Extension of piped water by 40 kms in 4 sub counties UGX 176,119,000.</p>	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>This year (2020/2021) the planned activities are Drilling 16 boreholes; Rehabilitation of 40 boreholes and of 1 latrines. Of the 16 planned boreholes, evidence for application was available for three boreholes as namely:</p> <p>Drilling of 1 new borehole for Keera Village, Katagwa Parish, Kamira Sub County which was signed by Mr. Jarua C. Joshua (0779104564), LC I Chairperson on July 15th, 2019;</p> <p>Drilling of 1 new Borehole for Buwanuaka Village, Kitenderi Parish, Kamira Sub County which was signed by Mr. Bukenya Godfrey Gullu (0787845484, LC I Chairperson on July 10th, 2019</p> <p>Drilling of 1 new Borehole for Magoggo Primary School Bumunanika Sub County which was signed by Ms. Nakitto Florence (Head teacher and endorsed by Mr. Ssentongo Mike Mulyazaawo LCI Chairperson on May 27th, 2019</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>There was evidence that the LG conducted field appraisal to check for: technical feasibility; environmental social acceptability; and customised designs for WSS projects for current FY as follows:</p> <p>Drilling of 16 dip boreholes district wide desk review was done on 03/03/2020 and field appraisals was on 27/12/2019, the customised designs cost and details were included in the BOQs; and</p> <p>Extension of piped water by 40 kms in 4 sub counties desk review was done on 03/03/2020 and field appraisals was on 27/12/2019, the customised designs cost and details were included in the BOQs.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was NO Evidence that all water infrastructure projects for the current FY (2020/2021 FY) were screened for environmental and social risks/impacts and ESIA/ESMPs prepared before the projects were approved for construction as Costed ESMPs; designs, BoQs, bidding and contract documents with Costed ESMPs; site visit reports; and monthly compliance monitoring reports were NOT available.</p>	0

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>The water and sanitation infrastructure projects were incorporated in the procurement plan.</p> <p>There is evidence of existence of the water projects in the Luwero DLG Procurement Plan for the FY 2019/20 under the Section titled Works (Water)</p> <p>The following are the sampled project.</p> <p>1. Project Name: Drilling and installation of 5 No. Boreholes.</p> <p>Contract No: LUWE532/Wrks/19-20/00076</p> <p>Date of Award: 18-Oct-2019</p> <p>Contract Price: 106.034,800</p> <p>Min 009/ LDLGCC/19-20</p> <p>Evaluation Report signed on: 23-Sept-2019 and signed off by Nalule Margaret (Chair Person)</p>	2
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There is evidence that water supply and public sanitation infrastructure project for the current FY was approved by the Contracts Committee before commencement of construction</p> <p>Sampled project</p> <p>1. Siting, Drilling and Installation of 5 No Deep boreholes</p> <p>Contract Number: LUWE532/Wrks/19-20/00076</p> <p>Contract Committee Min 009/ LDLGCC/19-20</p>	2
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines</p> <p>Score 2:</p>	<p>There was no evidence that the LG established the project implementation team as specified in the sector guidelines.</p> <p>Contracts Implementation and management plans were availed but the team had only two technical staff. The CM and PM.</p> <p>The following reports were sampled:</p> <p>1. Project Name: Drilling and installation of 5 No. Boreholes.</p> <p>Contract No: LUWE532/Wrks/19-20/00076</p> <p>Date of Award: 18-Oct-2019</p> <p>Contract Price: 106.034,800</p> <p>Min 009/ LDLGCC/19-20</p> <p>Evaluation Report signed on: 23-Sept-2019 and signed off by Nalule Margaret (Chair Person)</p> <p>For the project above, the CM (Kanyike Joseph) and PM (Kalenzi Robert) were appointed by the CAO on 18-Oct-2019</p>	0
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The Contract for construction of a four stance bio digester (water borne) toilet at Luwero District Headquarter (Procurement Ref: Luwe532/Wrks/19-20/00119) signed February 19th, 2020 with Dala Ware (U) Limited at a cost of UGX 19,062,310. I used design drawings attached to the contract as a basis for assessment to verify whether the latrine was constructed as designed. From the field observations, there were some modification on the toilet as designed to include a shower for the Ladies section which was not initially included in the original design. The Engineer needs to prepare as built drawing to reflect this modification. The hand pumps constructed were also done according to the standard. The platform was constructed as per the national standard design and the hand pumps installed were Uganda Mark Two (U2) - equivalent of India Mark 2 which is currently the technology of choice for hand pumps.</p>	2
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>There was no sufficient evidence that the District Engineer, DWO, Environment officer and CDO participated in supervising the WSS projects.</p> <p>Contracts Implementation and management plans were availed but the only technical person on board was the Contract Manager.</p> <p>The following projects were sampled:</p> <p>1. Project Name: Drilling and installation of 5 No. Boreholes.</p> <p>Contract No: LUWE532/Wrks/19-20/00076</p> <p>Date of Award: 18-Oct-2019</p> <p>Contract Price: 106.034,800</p> <p>Min 009/ LDLGCC/19-20</p> <p>Evaluation Report signed on: 23-Sept-2019 and signed off by Nalule Margaret (Chair Person)</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <p>o If 100 % contracts paid on time: Score 2</p> <p>o If not score 0</p>	<p>There was no evidence that the LG verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement).</p> <p>Payment vouchers were not availed in the procurement plan.</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law: Score 2, If not score 0</p>	<p>Contract for water infrastructure investments has all relevant records as per the PPDA law</p> <p>Siting, Drilling and Installation of 5 No Deep boreholes</p> <p>Contract Number: LUWE532/Wrks/19-20/00076</p> <p>Contract Committee Min 009/ LDLGCC/19-20</p> <p>Evaluation Report signed on: 23-Sept-2019 and signed off by Nalule Margaret (Chair Person)</p>	2

Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There was NO Evidence that Luwero DLG Water Supply, Sanitation Services and Environment related grievances had been recorded, investigated, and responded to by DWO in liaison with the District Grievance Redress Committee in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating and responding to grievances; (iv) define a complaints referral path; (v) publicly display grievance redress information at LG offices; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.</p>	0
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was NO Evidence that Luwero DLG DWO and Environment Officer had disseminated guidelines on water source and catchment protection and natural resource management to CDOs as the guidelines themselves, minutes of meetings with CDOs and signed acknowledgement of receipt of the guidelines by CDOs were NOT available.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was NO Evidence that Luwero DLG water source protection plans and natural resource management plans for Water Supply and Sanitation Services facilities and infrastructure projects constructed during the previous FY (2019/2020 FY) were prepared and implemented as the plans themselves and monthly monitoring reports were NOT available.</p>	0

15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	There WAS Evidence that Luwero DLG had all Water Supply and Sanitation Services infrastructure projects implemented on land where the LG had proof of ownership, access and availability, without any encumbrances on land acquisition status as exemplified by the following: - Luwero DLG Department of Natural Resources presented a Land Agreement Dated 10/02/2020 offering land for Construction of a Borehole at Ntebe Village, Buyuka Parish, Katikamu Sub-county, Luwero District; Embossed with Signatures of Land Owners and Witnesses; Signed and Stamped by Ntebe LC I Chairperson on 10/02/2020. - Luwero DLG Department of Natural Resources presented a Land Agreement Dated 09/09/2019 offering land for Construction of a Borehole at Bukusu Village, Makulubita B Parish, Makulubita Sub-county, Luwero District; Embossed with Signatures of Land Owners and Witnesses; Signed and Stamped by Bukusu LC I Chairperson. - Luwero DLG Department of Natural Resources presented a Land Agreement Dated 25/02/2018 offering land for Construction of a Borehole at Kibanga - Kabira Village, Kibanga - Kabira Parish, Kalagala Sub-county, Luwero District; Embossed with Signatures of Land Owners and Witnesses; Signed and Stamped by Kibanga LC I Chairperson on 25/02/2018.	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects: Score 2, If not score 0	There WAS Evidence that Luwero DLG had Environmental and Social Certification Forms completed and signed by Environmental Officer and CDO prior to settlement of contractor payment certificates at interim and final stages of all Water Supply and Sanitation Services infrastructure projects as exemplified by the following: - Environmental and Social Certification for Higher Local Government Projects: Drilling of Five (5) Boreholes at Kirembwe and Entebbe Villages in Katikamu Sub-county; Ndagga Village in Luwero Sub-county; Bukusu Village in Makulubita Sub-county; and Kibanga Village in Kalagala Sub-county; Dated 18/07/2020; Signed and Stamped by District Environmental Officer, Luwero DLG.	2
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 2, If not score 0	There was NO Evidence that Luwero DLG Environmental Officer and CDO conducted monitoring of Water Supply and Sanitation Services infrastructure projects to ascertain compliance with ESMPs and to verify implementation of mitigation measures; and monthly monitoring reports were NOT available.	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else</p>	<p>LG does not have up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>LG does not have up to-date data on irrigated land for the last two FYs</p>	0
2	<p>Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 4 • 60 – 69%; score 2 • Below 60%; score 0 <p>Maximum score 4</p>	<p>Assessment of micro-scale irrigation for LLG performance assessment has not been done. LG is in early stages of implementing the micro-irrigation grant project.</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>Supply and installation of micro-scale irrigations equipment not yet done.</p> <p>Procurement process at initiation level</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	Supply and installation of micro-scale irrigations equipment not yet done	0
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	Supply and installation of micro-scale irrigations equipment not yet done	0
Maximum score 6				
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY	Supply and installation of micro-scale irrigations equipment not yet done	0
Maximum score 6		<ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 		
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	a) Evidence that the LG has recruited LLG extension workers as per staffing structure	There was no evidence that the LG had recruited LLG extension workers as per staffing structure.	0
Maximum score 6		<ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 	<p>According to the “Approved recommended customized staff establishment for Luwero DLG for FY 2019/2020 Staffing levels as at 1st July 2020” VOTE 532, the approved number of extension workers for LLGs is 60. However, the letter from the District Production and Marketing Officer explaining the deployment of staff to provide technical services in Agriculture dated 24th/9/2020, with a list of deployed extension staff at LLGs as of September 2020, shows that 34 workers were deployed out of 60.</p>	
			34/60x100=57%	

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	Micro-scale irrigation equipment not yet procured	0
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4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	Micro-scale irrigation equipment for beneficiaries have not been installed. There was no functional micro scale irrigation systems for the non beneficiaries among the sites visited in Kamira, Luwero and Kalagala Sub counties.	0
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Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was evidence that information on position of extension workers filled was accurate. The arrival books/attendance registers, staff lists from the LLGs from the 3 LLGs sampled (namely Luwero S/C, Katikamu S/C and Wobulenzi T/C had the same information when compared with the staff list obtained from the Production Department.	2
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5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	Micro-scale irrigation equipment not yet procured and installed.	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	No quarterly information collected on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest	0
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6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	LG has entered up to-date LLG information into MIS (Irritrack app)	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	No evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	LG did not have an approved performance improvement plan.	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No PIP implementation reports	0

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The assessor did not access the LG performance contract.	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	LG has deployed extension workers as per guidelines as evidenced by the staff list.	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	The arrival books/attendance registers, staff lists from the LLGs from the 3 LLGs sampled (namely Luwero S/C, Katikamu S/C and Wobulenzi T/C had proof that the extension workers are working in the LLGs where they were deployed.	2
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	c) Evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was no evidence of displaying staff lists on the LLGs Notice board.	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was no evidence that the District Production Coordinator Conducted annual performance appraisal of Extension Workers against the agreed performance plans</p> <p>and had submitted a copy to HRO during the previous FY.</p> <p>Extension workers' Appraisal files which lacked appraisals reviewed included;</p> <p>Assistant Animal Husbandry Officer (Lukwago John), Assistant Agricultural Officer (Nanono Kiwanuka), Veterinary Officer (Wamala Muhamed), Animal Husbandry Officer (Mariam Ahmed) and Assistant Agricultural Officer (Oketa Dorothy).</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>No corrective actions were taken.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>There was evidence of training activities that were conducted in accordance to the training plans at District level. The only report accessed was a report on technical staff exposure at NaLiRRI dated 30.09.2020,</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>There was no evidence of documentation of training activities in the training database.</p>	0

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>The micro -scale irrigation still in the beginning stage so only awareness campaign</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>The LG still in the initial stage and therefore budget allocations not yet applicable.</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>Co- funding not yet applicable in the first year of implementation.</p>	0
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Not yet applicable in the first year of implementation.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>LG has disseminated information on use of the farmer co-funding as evidenced by a report on micro scale irrigation program dated 30.09.2020 by SAO and report on awareness creation in subcounties under UgiFT dated 15.10.2020 by SAE</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 <p>Less than 70% score 0</p>	<p>Micro-scale irrigation equipment not yet installed</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>Micro-scale irrigation equipment not yet installed</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	LG has provided hands-on support to the LLG extension workers. Report on technical staff exposure at NaLiRRI written on 30.09.2020. 28 extension officers trained.	2
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	LG has not established farmer field schools	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Mobilisation report for micro-scale irrigation awareness program written on 30.09.2020. About 40 farmers registered in each of the subcounties.	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	No explicit evidence that the District has trained staff and political leaders at District and LLG level. Howere awareness trainings at LLG Level usually also target political leaders in each and every Subcounty .	0
Investment Management				
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	Micro-scale irrigation equipment not yet procured and supplied to farmers	0

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>LG keeps an up-to-date database of application as evidenced by information in the irritrack app. Date of last up date was 5.11.2020.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Farm visits not yet conducted</p> <p>At the level of making phone calls.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>Eligible farmers Micro-scale irrigation identified but not yet not yet approved</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>Procurement Plan for the current FY not available.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>LG has not yet requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF)</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems was approved by the Contracts Committee: Score 1 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff): Score 2 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	LG not yet at this stage in the implementation of the micro irrigation grant.	0

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>There was NO Evidence that Luwero DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating, responding to, and reporting on grievances; (iv) define a complaints referral path; (v) publicly display grievance redress mechanism at LG Production Department Notice Board and in multiple public areas; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was NO Evidence that Luwero DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating, responding to, and reporting on grievances; (iv) define a complaints referral path; (v) publicly display grievance redress mechanism at LG Production Department Notice Board, LLG Notice Boards, and in multiple public areas; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was NO Evidence that Luwero DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating, responding to, and reporting on grievances; (iv) define a complaints referral path; (v) publicly display grievance redress mechanism at LG Production Department Notice Board, LLG Notice Boards, and in multiple public areas; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.</p>	0

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was NO Evidence that Luwero DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating, responding to, and reporting on grievances; (iv) define a complaints referral path; (v) publicly display grievance redress mechanism at LG Production Department Notice Board, LLG Notice Boards, and in multiple public areas; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.</p>	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was NO Evidence that Luwero DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as the LG was yet to: (i) designate a Grievance Redress Officer to coordinate response to feedback on grievance/complaints; (ii) establish a centralized Grievance Redress Committee (GRC); (iii) specify a system for recording, investigating, responding to, and reporting on grievances; (iv) define a complaints referral path; (v) publicly display grievance redress mechanism at LG Production Department Notice Board, LLG Notice Boards, and in multiple public areas; (vi) publicize the grievance redress mechanisms so that aggrieved parties would know where to report and get redress.</p>	0
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>There was NO Evidence that Luwero DLG had disseminated micro-scale irrigation guidelines that included Environmental and Social Safeguards requirements to beneficiary smallholder farmers as the guidelines themselves, minutes of meetings with beneficiary smallholder farmers and signed acknowledgement of receipt of the guidelines by beneficiary smallholder farmers were NOT available. Additionally, MoUs between LGs and farmers and Environmental and Social Safeguards requirements compliance monitoring reports were also NOT available.</p>	0
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15	Safeguards in the delivery of investments Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	There was NO Evidence that Luwero DLG had Costed ESMPs incorporated into designs, BoQs, bidding and contractual documents for micro-scale irrigation sector projects as designs, BoQs, bidding and contractual documents for micro-scale irrigation sector projects were NOT available.	0
15	Safeguards in the delivery of investments Maximum score 6	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0</p>	There was NO Evidence that Luwero DLG had carried out compliance monitoring for mitigation of irrigation impacts for micro-scale irrigation sector projects as Environmental and Social Safeguards requirements compliance monitoring reports signed by LG Environmental Officer and CDO were NOT available.	0
15	Safeguards in the delivery of investments Maximum score 6	<p>iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	There was NO Evidence that Luwero DLG had Environmental and Social Certification Forms (ESCFs) completed and signed by Environmental Officer prior to settlements of contractor payment certificates at interim and final stages of micro-scale irrigation sector projects as Environmental and Social Certification Forms for micro-scale irrigation sector projects that were completed and signed by the LG Environmental Officer and CDO were NOT available. Additionally, signatures of the LG Environmental Officer and CDO were absent on Contractor Payment Certificates that were presented by the LG and reviewed by the Assessor.	0
15	Safeguards in the delivery of investments Maximum score 6	<p>iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	There was NO Evidence that Luwero DLG had Environmental and Social Certification Forms (ESCFs) completed and signed by CDO prior to settlements of contractor payment certificates at interim and final stages of micro-scale irrigation sector projects as Environmental and Social Certification Forms for micro-scale irrigation sector projects that were completed and signed by the LG Environmental Officer and CDO were NOT available. Additionally, signatures of the LG Environmental Officer and CDO were absent on Contractor Payment Certificates that were presented by the LG and reviewed by the Assessor.	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or requested for secondment of staff for all critical positions in the District Production Office responsible for micro-scale irrigation	If the LG has recruited the Senior Agriculture Engineer score 70 or else 0.	There was evidence that the LG recruited and appointed the Senior Agriculture Engineer on 23/12/2016, Min No. 53/2016 (A) (1).	70
	Maximum score is 70			
Environment and Social Requirements				
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.	If the LG: a. Carried out Environmental, Social and Climate Change screening, score 15 or else 0.	There was NO Evidence that Luwero DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all micro-scale irrigation sector infrastructure projects as completed Environmental, Social and Climate Change Screening Forms were NOT available.	0
	Maximum score is 30			
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.	b. Carried out Social Impact Assessments (ESIAs) where required, score 15 or else 0.	There was NO Evidence that Luwero DLG carried out Environmental, Social and Climate Change Screening and Environmental and Social Impact Assessments (ESIAs); and prepared Costed ESMPs, where required, prior to commencement of all civil works for all micro-scale irrigation sector infrastructure projects as completed Environmental, Social and Climate Change Screening Forms, ESIAs Reports and Costed ESMPs were NOT available.	0
	Maximum score is 30			

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	If the LG has recruited: a. 1 Civil Engineer (Water), score 15 or else 0.	There was no evidence that the LG had a substantively appointed Civil Engineer (Water).	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	There was evidence from the approved customized staff establishment that the LG had filled the position of Assistant Water Officer for mobilization. The personal file was not seen for details.	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	There was evidence that the LG had recruited and appointed the Borehole Maintenance Technician/Assistant Engineering Officer Kanyike Joseph on 3/3/2005, Min No. 128/2004 (2).	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	d. 1 Natural Resources Officer , score 15 or else 0.	The customised Structure for Luwero DLG provides for only one Natural Resource officer. There was evidence that the District Natural Resources Officer Gateese Teopista was appointed on promotion on 28th June 2017, Min No. 155/2017 (a) (2).	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	e. 1 Environment Officer, score 10 or else 0.	The position of Environment Officer was vacant.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	f. Forestry Officer, score 10 or else 0.	There was no evidence of recruitment and appointment of a forestry officer. The "Approved Recommended staff establishment for Luwero DLG for FY 2019/2020 staffing levels as at July 2020" showed that the position of a Forestry Officer was vacant.	0

Environment and Social Requirements

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There WAS Evidence that Luwero DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all water sector infrastructure projects for the previous FY (2019/2020 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) for the following water sector infrastructure projects:

- Completed Environmental and Social Screening Form (ESSF) for Siting, Drilling and Installation of 5No. Deep Boreholes in Makulubita, Kalagala, Ziobwe and Katikamu Sub-counties under Water Grant Funding (LUWE/532/WRKS/19-20/00076), Dated 26/09/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

10

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

There WAS Evidence that Luwero DLG carried out Environmental and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all water sector infrastructure projects for the previous financial year (2019/2020 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) for the following water sector infrastructure projects:

- Completed Environmental and Social Screening Form (ESSF) for Siting, Drilling and Installation of 5No. Deep Boreholes in Makulubita, Kalagala, Ziobwe and Katikamu Sub-counties under Water Grant Funding (LUWE/532/WRKS/19-20/00076), Dated 26/09/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

10

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that contractors got abstraction permits issued by DWRM, score 10 or else 0.

There WAS Evidence that Luwero DLG ensured that contractors had abstraction permits issued by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works for all water sector infrastructure projects for the previous FY (2019/2020 FY) as exemplified by the following abstraction permits issued to LG contractors by DWRM:

- Drilling Permit. Name of Permit Holder: Galaxy Agro Tech Uganda Limited P.O. Box 36164 Kampala. Permit Number: DP12505/DW 2019. Issuance Date: Thursday 16 May 2019. Permit Duration: Monday 01 July 2019 until Tuesday 30 June 2020. Signed by Eng. Kavutse Dominic; Director of Water Development.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a. District Health Officer, score 10 or else 0.</p>	<p>There was no evidence that the LG had a substantive DHO. The position of DHO was vacant as per the approved staff establishment as at 1st July 2020 Dr. Nkowe Innocent the Principal Medical Officer was the acting DHO at the time of this assessment.</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>There was no evidence that the LG had an Assistant District Health Officer Maternal, Child Health and Nursing. The Nursing officer Namayanja Florence was acting as the Assistant District Health Officer Maternal, Child Health and Nursing.</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>There was evidence that the Assistant District Health Officer Environmental Health (Kisakye Joseph) was substantively recruited on 28/4/2017, Min No. 74/2017 (b) (1).</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>d. Principal Health Inspector (Senior Environment Officer) , score 10 or else 0.</p>	<p>The Senior Environment Officer Kayongo Scovia was substantively recruited and appointed on 29th/6/2020, Min No. 76/2020 (i).</p>	10

1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The LG had a substantive Senior Health Educator Namusisi Ruth K appointed on 13/3/2019, Min No. 48/2019 (1).</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>There was evidence that the LG had a substantive Biostatistician Bunjo Kiku Tonny appointment letter dated 2/1/2012, Min No. 72/2012 (E) (1).</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The “Approved Recommended staff establishment for Luwero DLG for FY 2019/2020 staffing levels as at July 2020” indicated that the position of the District Cold Chain Technician was filled but the personal file was not accessed by the assessor.</p>	10
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. If the MC has in place or formally requested for secondment of Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. If the MC has in place or formally requested for secondment of Principal Health Inspector, score 20 or else 0.</p>		

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions. j. If the MC has in place or formally requested for secondment of Health Educator, score 20 or else 0.

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs) If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0. There was NO Evidence that Luwero DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all health sector projects for the current financial year (2020/2021 FY) as completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for health sector projects for the current financial year were NOT available.

Maximum score is 30

0

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs) b. Social Impact Assessments (ESIAs) , score 15 or else 0. There was NO Evidence that Luwero DLG carried out Environmental and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all health sector projects for the current financial year (2020/2021 FY) as completed Environmental and Social Screening Forms (ESSFs), Costed ESMPs and ESIA reports for health sector projects for the current financial year were NOT available.

Maximum score is 30

0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely: <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of: a) District Education Officer/ Principal Education Officer, score 30 or else 0.	There was evidence that the District Education Officer Bbosa Florence was appointed on 13th April, 2010, Min No. 02/2010 (1).	30
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely: <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of: b) All District/Municipal Inspector of Schools, score 40 or else 0.	There was no evidence that the LG recruited all Inspectors of schools; The Inspector of Schools (Kamulegeya Yusuf) was appointed on 2nd December, 2009 © (1) (Re-Designation from Head teacher to Inspector of schools). However, information extracted from the “Approved Recommended staff establishment for Luwero DLG for FY 2019/2020 staffing levels as at July 2020”, the position of senior Inspector of schools is filled while the position of the 2nd Inspector of school is vacant. The personal file for the Senior inspector of schools was not availed for assessment.	0

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There WAS Evidence that Luwero DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all education sector projects for the previous financial year (2019/2020 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following education sector projects:

The Maximum score is 30

- Completed Environmental and Social Screening Form (ESSF) for Completion of a Three (3) Classroom Block and Office at Lusenke C.O.U P/S in Butuntumula Sub-county under DDEG Funding (LUWE/532/WRKS/19-20/00071), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Renovation of a Three (3) Classroom Block at Bombo Islamic P/S in Bombo Town Council under DDEG Funding (LUWE/532/WRKS/19-20/00121), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Construction of a Two (2) Classroom Block at Prince Musanje P/S in Makulubita Sub-county and Nkokonjeru Islamic P/S in Bombo Town Council under SFG Funding (LUWE/532/WRKS/19-20/00069), Dated 26/09/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There WAS Evidence that Luwero DLG carried out Environmental and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all education sector projects for the previous financial year (2019/2020 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following education sector projects:

- Completed Environmental and Social Screening Form (ESSF) for Completion of a Three (3) Classroom Block and Office at Lusenke C.O.U P/S in Butuntumula Sub-county under DDEG Funding (LUWE/532/WRKS/19-20/00071), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Renovation of a Three (3) Classroom Block at Bombo Islamic P/S in Bombo Town Council under DDEG Funding (LUWE/532/WRKS/19-20/00121), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Construction of a Two (2) Classroom Block at Prince Musanje P/S in Makulubita Sub-county and Nkokonjeru Islamic P/S in Bombo Town Council under SFG Funding (LUWE/532/WRKS/19-20/00069), Dated 26/09/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	There was evidence that the Chief Finance Officer Barasa Patrick was appointed on transfer on 13th March, 2019, Min No. 43//2019 (1).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	There was evidence that the District Planner Luzze Charles was appointed on 12th January, 2017, Min No. 59/2017 (D) (c).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	There was no evidence that the District Engineer was substantive. According to the Approved recommended customised staff establishment for Luwero DLG for FY 2019/2020, Staffing levels as at 1st July 2020, the position of the District Engineer was vacant.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	There was evidence that the District Natural Resources Officer Gateese Teopista was appointed on promotion on 28th June 2017, Min No. 155/2017 (a) (2).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The District Production Officer Kidida Makubuya Andrew was appointed on 11th April, 2017 Min No. 70/2017 (a) (1)	3

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/ Principal CDO, score 3 or else 0	There was evidence that the District Community Development Officer Katasi Florence was appointed on promotion on 13th/9/2005 Min No. 147/2005 (d) (385).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The LG lacked a District Commercial Officer. The Principal Commercial Officer Kayimbye Moses appointed on 20/6/2019 Min No. 76/2019 (e) (1) was Acting as the District Commercial Officer.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	other critical staff h (i). A Senior Procurement Officer (Municipal: Procurement Officer) score 2 or else 0.	There was evidence that the District had a substantive Senior Procurement Officer Kiddu Sirajje Nsubuga appointed on 4th /3/2009, Min No. 6/2008 (1).	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	h(ii). Procurement Officer (Municipal Assistant Procurement Officer), score 2 or else 0	There was evidence that the District had recruited a Procurement Officer appointed on 20/6/2019, Min No. 76/2019 (f) (2).	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Information from the Approved recommended customised staff establishment for Luwero DLG for FY 2019/2020, Staffing levels as at 1st July 2020, the position of Principal Human Resource Officer is filled. However, the personnel file was at the commission as explained by HRM team.	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The Senior Environment Officer Kayongo Scovia was substantively recruited on 29th/6/2020, Min No. 76/2020 (i).	2

1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>k. Senior Land Management Officer, score 2 or else 0</p>	<p>The Senior Land management officer Seruwooza JB was substantively recruited on 25th/2/2020, 02/2020 (v).</p>	2
1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>There was evidence that the Senior Accountant Kibirige William was substantively recruited on 1st/2/2016, Min No. 34/2016 (a) (1).</p>	2
1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>m. Principal Internal Auditor for Districts and Senior Internal Auditor for MCs, score 2 or else 0</p>	<p>There was evidence that the Principal Internal Auditor Namubiru Florence was substantively recruited on 22nd/5/2013, Min No. 55/2013 (c).</p>	2
1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>The Approved recommended customised staff establishment for Luwero DLG for FY 2019/2020, Staffing levels as at 1st July 2020, shows that the position of Principal Human Resource Officer (SECRETARY DSC) is filled. However, the personnel file was at the commission as explained by HRM team.</p>	2

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

a. Senior Assistant Secretaries in all LLGs,

score 5 or else 0

There was evidence that the LG had substantively recruited all Senior Secretaries in all LLGs.

The Personal files for Muluuta Mugagga SAS Bombo S/C and Nabaasa Lydia SAS Wobulenzi T/C were with IGG because they had some issues to sort out so the assessor could not access them.

The other SASs were appointed as follows;

Nabwegamu Esther SAS Makulubita S/C, was appointed on 11/6/2020, Magambo Fred Kisitu SAS Ziobwe S/C was appointed on 28/8/2020,

Kisubi Ssembatya Esau SAS Nyimbwa S/C was appointed on 26/6/2015, Nanfuka Kalule SAS Katikamu S/C was appointed on 27/8/2020,

Sibihwana Sylvia SAS Luwero S/C was appointed on 15/8/2003,

Ddungu Henry SAS Kamira S/C was appointed on 13/9/2005,

Abalo Aribu Christine SAS was appointed on 29/6/2020,

Kabaale Robert SAS Bamunanika S/C was appointed on 12/4/2007 and

Kanatta Willy SAS Bututntumula S/C was appointed on 12/4/2007.

2	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>If LG has recruited or requested for secondment of:</p> <p>b. A Community Development Officer or Senior CDO in case of Town Councils, in all LLGS</p> <p>score 5 or else 0.</p>	<p>.There was evidence that the LG had substantively recruited all Community Development Officers (CDOs) in all LLGs as follows;</p> <p>Nakakande Shadiah CDO Luwero S/C and Nabuuma Rebecca CDO Kalagala S/C were appointed on 20/4/2020,</p> <p>Ssentongo Emmanuel CDO Ziobwe S/C, Namirimu Juliet CDO Katikamu S/C and Nakafero Scolar CDO Kikyusa S/C were appointed on 26th/6/2015,</p> <p>Sempijja Enoch CDO Butuntumula S/C, Kajumba Felicity CDO Wobulenzi S/C and Nantume Racheal CDO Bombo S/C, were recruited on 19/6/2020, Namugenyi Kayizi SCDO Wobulenzi S/C was appointed on 19/5/2020.</p> <p>Katimba Livingstone CDO Bamunanika S/C was appointed on 19/6/2020.</p>	5
2	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>If LG has recruited or requested for secondment of:</p> <p>c. A Senior Accounts Assistant or an Accounts Assistant in all LLGS,</p> <p>score 5 or else 0.</p>	<p>There was evidence that the LG had substantively recruited all Senior Accounts Assistants and Accounts Assistants in all LLGs.</p> <p>The Personal files for Banyu Peter (Bamunanika S/C), Bukenya Godfery (Kamira S/C), Wamala Suleiman of Kalagala S/C and Byejewaso Robert of Ziobwe S/C were not available for review.</p> <p>Kulubasi Reuben Accounts Assistant of Katikamu S/C was appointed on 1/9/2003,</p> <p>Mbaziira William Accounts assistant of Butuntumula S/C was appointed on 13/9/2005,</p> <p>Nansitu Harriet of Nyimbwa S/C was appointed on 13/9/2005,</p> <p>Lubega Haruna Senior Accounts Assistant of Luwero S/C was appointed on 13/3/2019,</p> <p>Katende Yusuf of Makulubita S/C was appointed on 20/9/2019,</p> <p>Ssekugabanye Godfrey Accounts Assistant for Kikyusa S/C was appointed on 7/10/2019.</p>	5

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	As per the LG Final Account for the period ended 30th June 2020; page 24 Natural resources was allocated UGX 309,333,307 and UGX 286,964,427 was released. This represents 92%	0
	Maximum score is 4	a. Natural Resources department, score 2 or else 0		
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	As per the LG Final Account for the period ended 30th June 2020; page 24 Community Based Services was allocated UGX 344,621,382 and UGX 308,417,992 was released. This represents 89%	0
	Maximum score is 4	b. Community Based Services department. score 2 or else 0.		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	There WAS Evidence that Luwero DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all projects as exemplified by completed Environmental and Social Screening Forms (ESSFs) for the following projects: - Completed Environmental and Social Screening Form (ESSF) for Completion of a Three (3) Classroom Block and Office at Lusenke C.O.U P/S in Butuntumula Sub-county under DDEG Funding (LUWE/532/WRKS/19-20/00071), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia. - Completed Environmental and Social Screening Form (ESSF) for Renovation of a Three (3) Classroom Block at Bombo Islamic P/S in Bombo Town Council under DDEG Funding (LUWE/532/WRKS/19-20/00121), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia. - Completed Environmental and Social Screening Form (ESSF) for Construction of a Two (2) Classroom Block at Prince Musanje P/S in Makulubita Sub-county and Nkokonjeru Islamic P/S in Bombo Town Council under SFG Funding (LUWE/532/WRKS/19-20/00069), Dated 26/09/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia. - Completed Environmental and Social	4
	Maximum score is 12			

Screening Form (ESSF) for Construction of 100-Bed Ward at Luwero HC IV (Luwero Hospital) Kasana Phase VII in Town Council under PHC Funding (LUWE/532/WRKS/19-20/00108), Dated 16/10/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Construction of a 5-Stance Pit Latrine at Kikoma HC III in Wobulenzi Town Council and Ziobwe HC III in Ziobwe Town Council under PHC Funding (LUWE/532/WRKS/19-20/00089), Dated 23/10/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Siting, Drilling and Installation of 5No. Deep Boreholes in Makulubita, Kalagala, Ziobwe and Katikamu Sub-counties under Water Grant Funding (LUWE/532/WRKS/19-20/00076), Dated 26/09/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Construction of a 5-Stance Pit Latrine at Ttama C.O.U P/S in Luwero Sub-county, Kigalama P/S in Butuntumula Sub-county, Bbugga P/S in Bamunanika Sub-county, and Kalagala C.O.U P/S in Kalagala Sub-county under DDEG Funding (LUWE/532/WRKS/20-21/00112), Dated 28/10/2020, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Construction of a 5-Stance Pit Latrine at Namaliga C.O.U P/S in Bombo Town Council, Ndejje Junior P/S in Ndejje Town Council, Bbale P/S in Nyimbwa Sub-county and Kalasa Mixed P/S in Makulubita Sub-county under DDEG Funding (LUWE/532/WRKS/20-21/00117), Dated 29/10/2020, Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social Screening Form (ESSF) for Construction of a Classroom Block at Kiiso P/S in Kamira Sub-county and Kyegombwa RC P/S in Luwero Sub-county under SFG Funding (LUWE/532/WRKS/20-21/00101), Signed and Stamped by District Environmental Officer - Kayonga Scovia.

- Completed Environmental and Social

Screening Form (ESSF) for Construction of a Two (2) Classroom Block at Lukole Umea P/S in Bombo Town Council and Ndejje Junior P/S in Ndejje Town Council under SFG Funding (LUWE/532/WRKS/20-21/00099), Signed and Stamped by District Environmental Officer - Kayonga Scovia.

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p>	<p>b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),</p>	<p>There WAS Evidence that Luwero DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all projects implemented using the DDEG for the previous financial year (2019/2020 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) for the following projects:</p> <ul style="list-style-type: none"> - Completed Environmental and Social Screening Form (ESSF) for Completion of a Three (3) Classroom Block and Office at Lusenke C.O.U P/S in Butuntumula Sub-county under DDEG Funding (LUWE/532/WRKS/19-20/00071), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia. - Completed Environmental and Social Screening Form (ESSF) for Renovation of a Three (3) Classroom Block at Bombo Islamic P/S in Bombo Town Council under DDEG Funding (LUWE/532/WRKS/19-20/00121), Dated 30/08/2019, Signed and Stamped by District Environmental Officer - Kayonga Scovia. 	4
	<p>Maximum score is 12</p>	<p>score 4 or 0</p>		
4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p>	<p>There was NO Evidence that Luwero DLG had Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG) prior to commencement of all civil works as Costed ESMPs and Environmental Compliance Sections were absent in Bills of Quantities (BoQs) of Bidding and Contractual Documents of Successful Bidders for all infrastructure projects funded under DDEG.</p>	0
	<p>Maximum score is 12</p>	<p>score 4 or 0</p>		

Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>The LG will be scored in January 2021 when the Auditor General report for the year 2019/20 is issued.</p>	0
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The LG submitted status of implementation of Internal Auditor General issues for the year 2018/19 on 14 December 2019 and Auditor General audit issues for the year 2018/19 on 11 February 2020 to PS/ST, before the February 2020 deadline.</p>	10
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG submitted an annual performance contract of 2020/21 on 24 June 2020 before the deadline of August 31st, 2020.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted the Annual Performance Report for the year 2019/20 on 7/9/2020 after the deadline of August 2020.</p>	0

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The LG did not submit all the quarterly budget Performance Reports for the year 2019/20 by the deadline of August 2020 as below:

Q1 was submitted on 27/11/2019 ;

Q2 was submitted on 17/2/2020 ;

Q3 was submitted on 4/5/2020 ; and

Q4 was submitted on 7/9/2020.